

# AI & Machine Learning in Physics



PHYS3151 (6 credits)

Time & Place : Tue 13:30-14:20, 14:30-15:20 MW T6  
Fri 14:30-15:20 EH 102

Teachers: Zi Yang Meng ( [zymeng@hku.hk](mailto:zymeng@hku.hk) ), HOC 231

<https://quantummc.xyz/hku-phys3151-machine-learning-in-physics-2024/>

Tutor: Min Long ( [u3009934@connect.hku.hk](mailto:u3009934@connect.hku.hk) ), HOC 217

# AI & Machine Learning in Physics

Teaching Materials:

<https://quantummc.xyz/hku-phys3151-machine-learning-in-physics-2024/>

Slides / Reading materials

Python notebooks

Assignments

## Assessment Methods and Weighting

- Assignments 30%
- Presentation 20%
- Project report 20%
- Exam. 30%



# AI & Machine Learning in Physics



Literature: Books

there are many, actually too many

📌 Ethem Alpaydin, Introduction to Machine Learning, Third Edition, MIT Press 2014

📌 Simon Haykin, Neural Networks and Learning Machines, Third Edition, Pearson 2009

📌 Stuart Russell, Peter Norvig, Artificial Intelligence, Third Edition, Pearson 2010

Literature: Online material

Andrew Ng, Stanford University

<http://www.holehouse.org/mlclass/>

[https://www.youtube.com/playlist?list=PLLssT5z\\_DsK-h9vYZkQkYNWcItqhlRJLN](https://www.youtube.com/playlist?list=PLLssT5z_DsK-h9vYZkQkYNWcItqhlRJLN)

Neuroscience For Kids

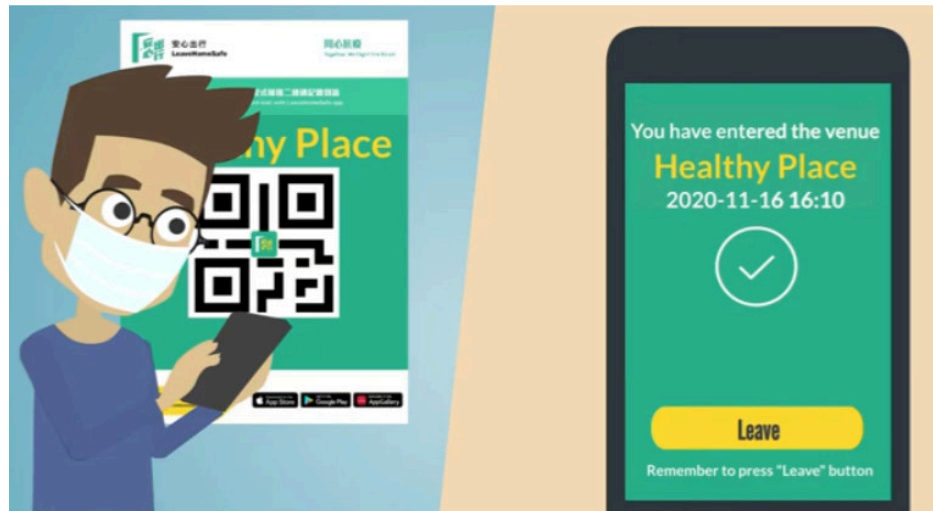
<http://faculty.washington.edu/chudler/neurok.html>

Michael Nielsen, scientist at home, the best reading material for NN

<http://neuralnetworksanddeeplearning.com>

# AI & Machine Learning in Physics

## In the era of AI & Big data



### QR / Face Recognition



### Smart Robots

<https://www.bostondynamics.com/>

In April 2017, AlphaGo vs. Jie Ke



- The machine played perfect...
- I am so behind, unbelievable...
- AlphaGo is not the God, but it is a superior species than human being...

### AlphaGo



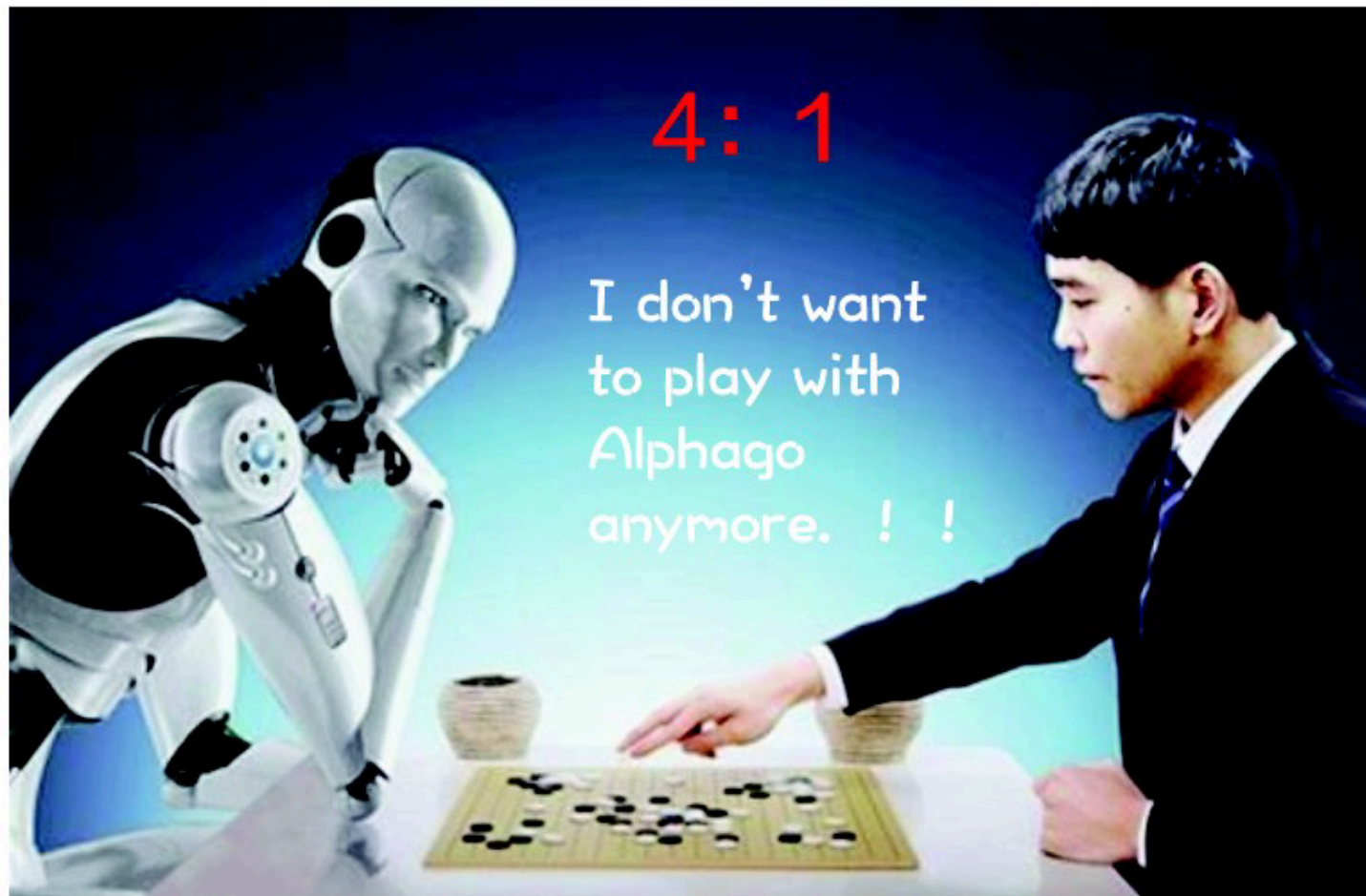
### Self-driving Car



# AI & Machine Learning in Physics

## AlphaGo-1

In March 2016, AlphaGo played with Lee Sedol in Seoul.



- its psychological aspects (its relentless concentration) no human can match it...
- The Go skill has improved surprisingly ...



# AI & Machine Learning in Physics



## AlphaGo-2

In April 2017, AlphaGo vs. Jie Ke



- The machine played perfect...
- I am so behind, unbelievable...
- AlphaGo is not the God, but it is a superior species than human being...

# AI & Machine Learning in Physics



## What happened to AlphaGo?

1. In January 2016, researchers revealed that AlphaGo could play 1 million games in 4 weeks. This means that AlphaGo could play 30,000 games per day. How many games could Lee and Ke play? 10 games/day, 82 years (30,000 days) , only 300,000 games only. AlphaGo has played 300,000,000 games after march 2016!!!→ **Big Data!!!**
2. Till now, only ~2 million games in total. AlphaGo actually learned from data generated by itself→ **Self learning!!!**
3. Software/Algorithm: 12 layers → 40 layers. **Make it more complicated!!!**
4. Hardware: TPU1 → TPU2. **New Hardware is necessary!!!**

Tensor processing unit (TPU) by google



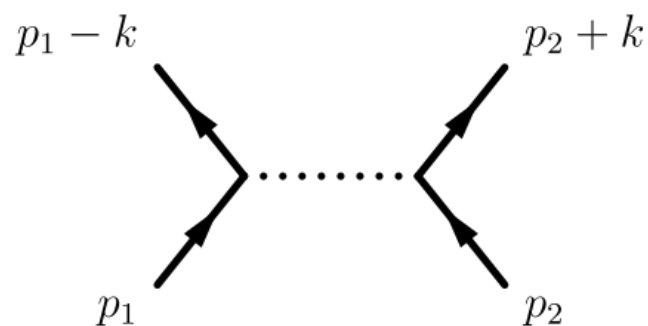
# Deep Learning And Physics

## DLAP2019

> Yukawa Institute for Theoretical Physics  
> Kyoto, Japan  
> 31 Oct - 2 Nov 2019 ■



Hideki Yukawa 汤川秀树





# AI & Machine Learning in Physics

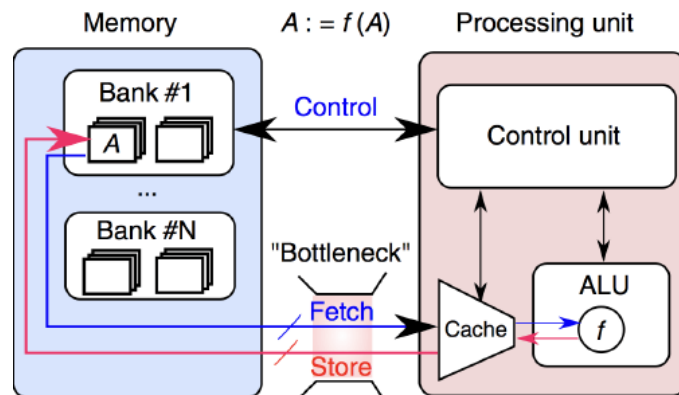
Challenges 1: models are more complicated

~ 100 layers, ~  $10^6$  weights/parameters



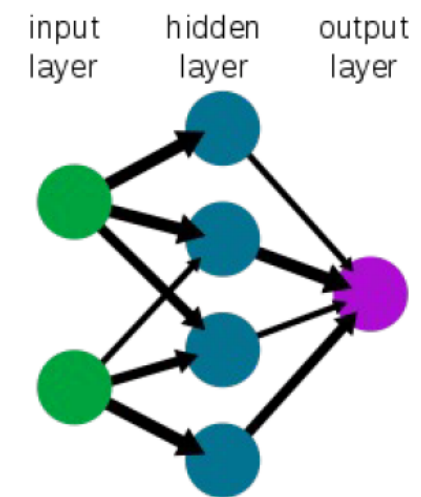
Challenges 2: memory bottleneck

Data fetch is much expensive than data process

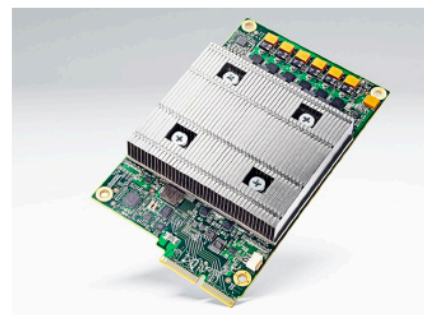


Large on-chip memory, bring computing and memory closer, using low precision computing.

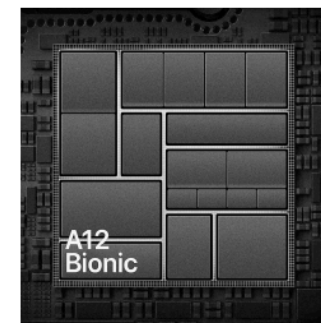
A simple neural network



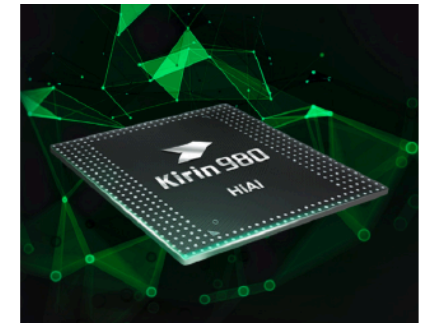
Neural Processing unit (NPU) for AI computing



TPU by Google



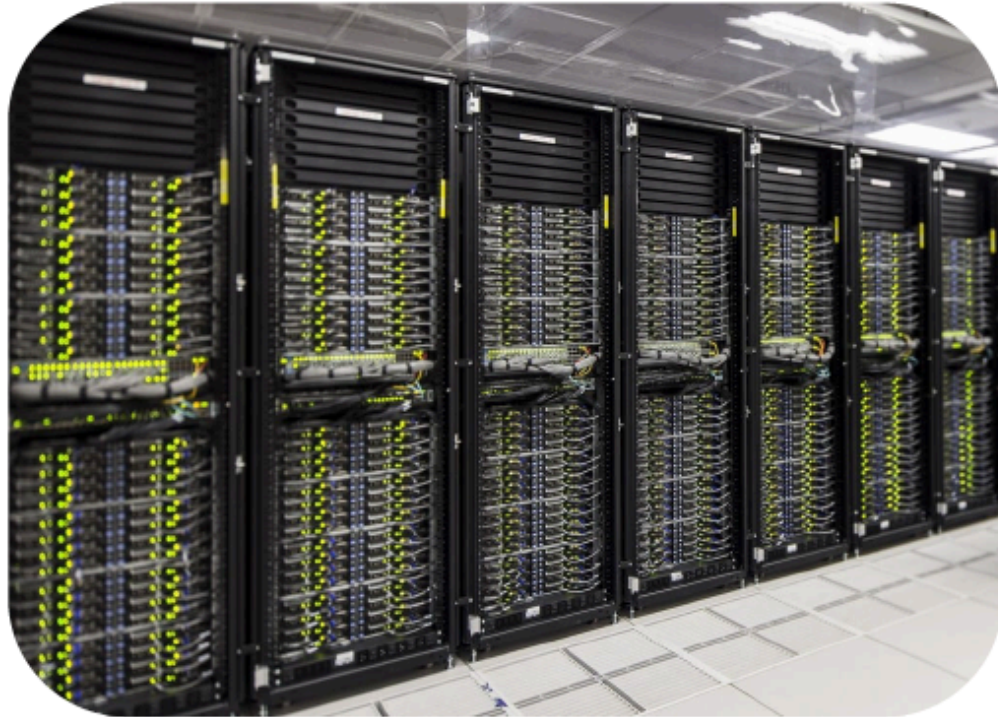
A12 Bionic by Apple



Kirin 980 by Huawei

# AI & Machine Learning in Physics

## Challenges 3: energy consumption



AlphaGo:

- ❑ 176 GPUs, 1202 CPUs
- ❑ 150,000 Watts



Jie Ke:

- ❑ 1.2L Human Brain
- ❑ ~20 Watts

Huge power gap between human brain and CMOS-based AI system

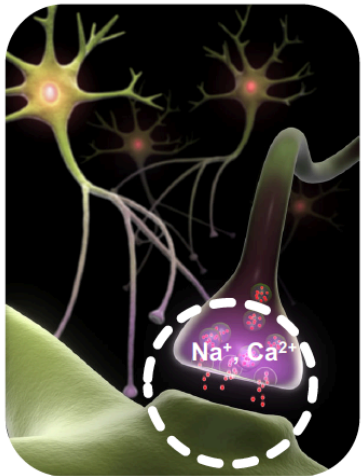
- It is much needed to develop **new hardware** with **new device** and **new architecture and new algorithm.**



# AI & Machine Learning in Physics

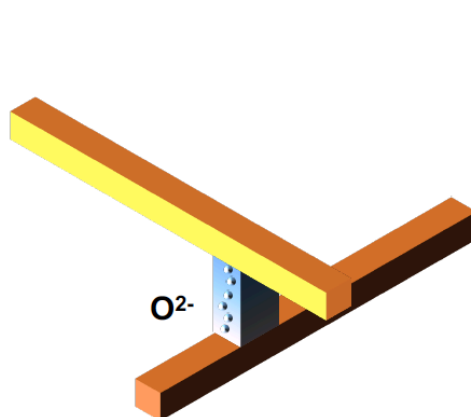
## Bio-synapse vs. Electrical synapse

Bio-synapse

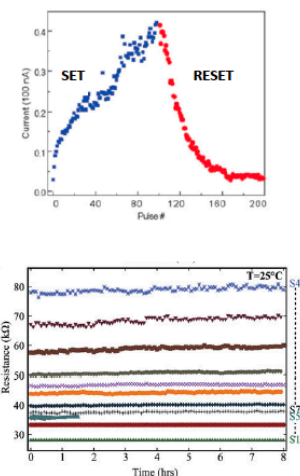


Bio-synapse conductance change through the  $\text{Na}^+$ ,  $\text{Ca}^{2+}$  ions movement

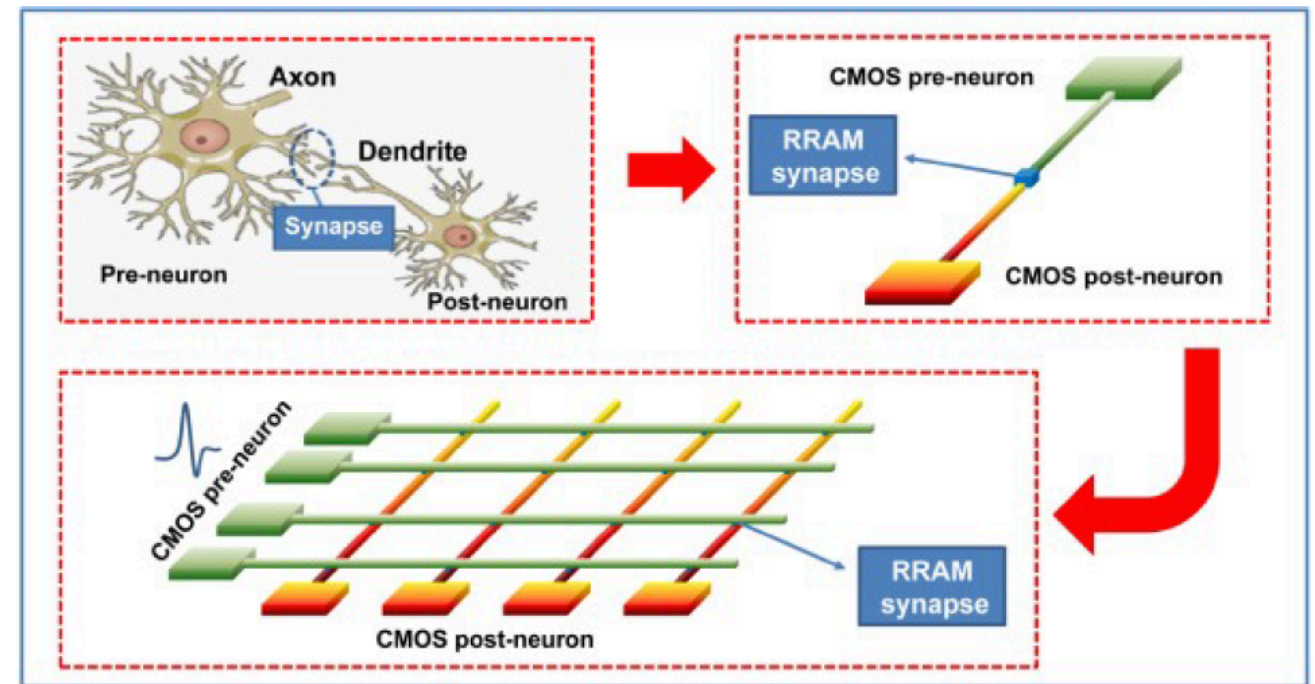
Memristor-Electrical synapse



Memristor device conductance change through  $\text{O}^{2-}$  ions movement



## Neuromorphic computing



$$\mathbb{R}^{N \times N}$$

$$Ax = b$$

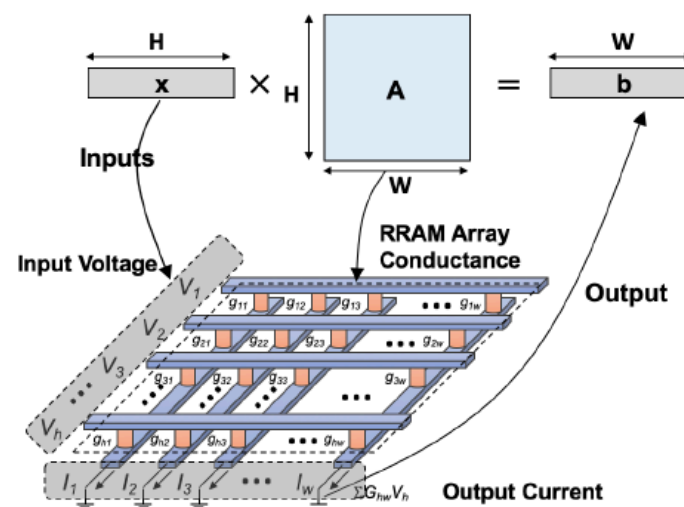
$$O(N^2)$$

$$x = A^{-1}b$$

$$O(N^3)$$

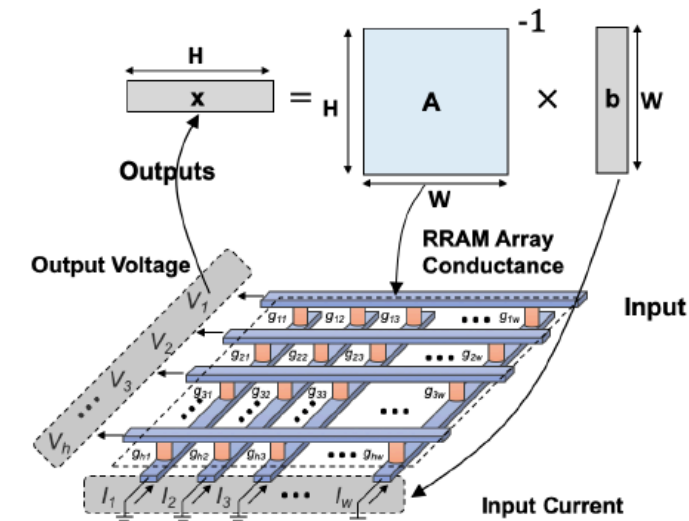
- Vector-Matrix-Multiplication (VMM)

$$Ax = b$$



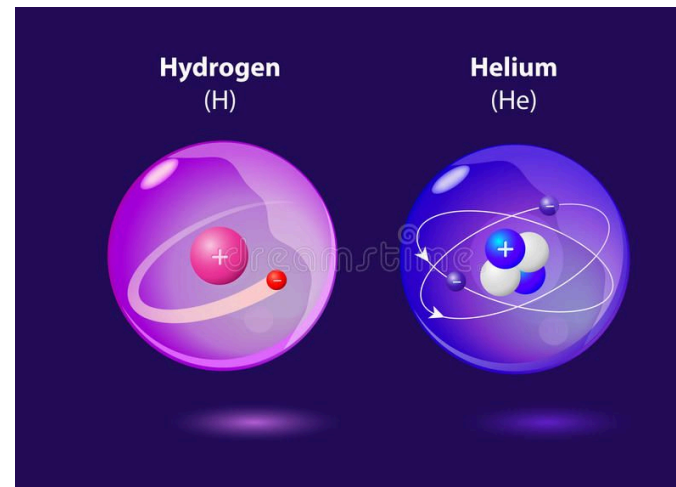
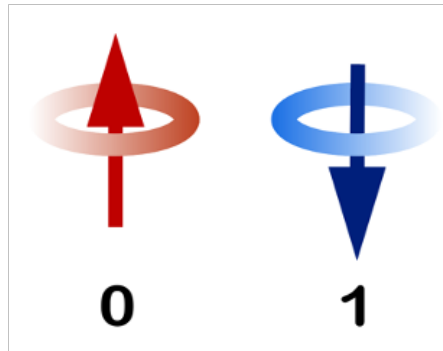
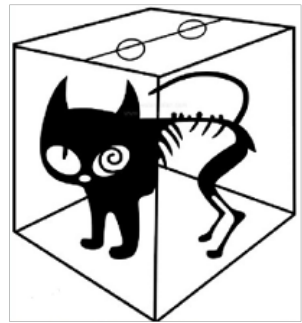
- Linear equation solver

$$Ax = b \rightarrow x = A^{-1}b$$



Computing with physical law in memory: Ohm's Law and Kirchhoff's Law

# Computation on quantum bit and quantum entanglement



 A colorful, cartoon-style periodic table of elements. Each element's box contains its symbol, name, and a small illustration. The title 'PERIODIC TABLE of the ELEMENTS' is at the top.

Lead to the famous “exponential wall”

$2^N$  Multi-electron atoms, cannot be solved exactly



Krishna and Radha playing chaturanga

Wheat grains on chessboard — Sissa ibn Dahir, inventor of Chaturanga

$2^{64} - 1 = 18,446,744,073,709,551,615$  grains of wheat, weighing about 1,199,000,000,000 tons.

About 1,645 times the global production of wheat.

$$N = 10 \quad 2^{10} = 1,024 \sim 10^3$$

$$N = 20 \quad 2^{20} = 1,048,576 \sim 10^6$$

$$N = 30 \quad 2^{30} = 1,073,741,824 \sim 10^9$$

$$N = 40 \quad 2^{40} = 1,099,511,627,776 \sim 10^{12}$$

$$N = 50 \quad 2^{50} = 1,125,899,906,842,624 \sim 10^{15}$$

**Solving exponentially complex problem in polynomial time**



# Computation and AI could solve the energy crisis



electric power transmission at high voltage



Maglev (magnetic levitation) bullet train with 600 k/h

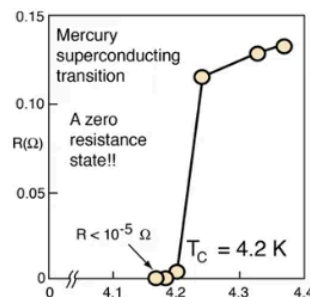
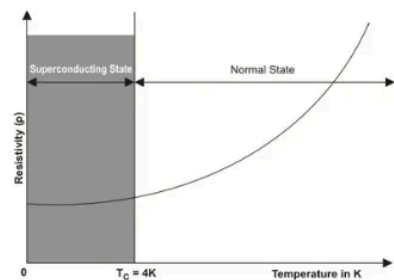
High speed rail



## Understanding Quantum Metals

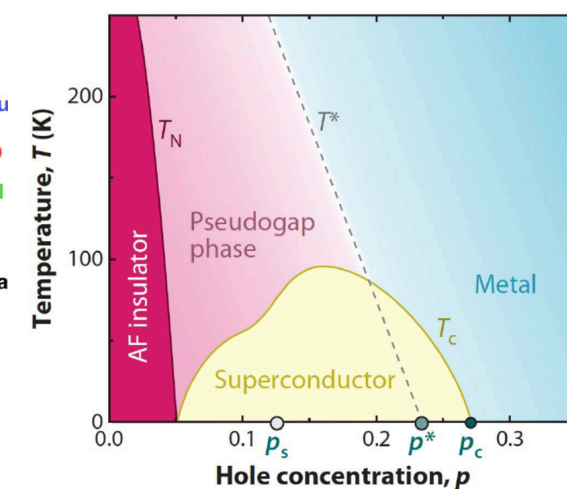
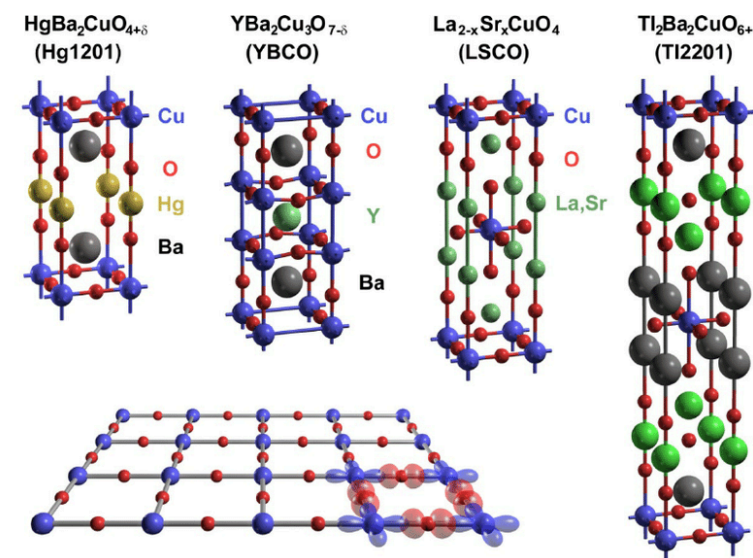
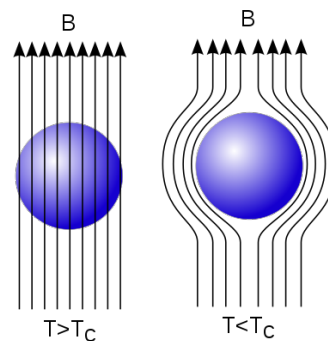
High-temperature superconductors at  $\sim -100^\circ\text{C}$

### What is Superconductivity?



Dutch Physicist  
Heike Kamerlingh Onnes  
in 1911

superconductors at  $\sim -270^\circ\text{C}$

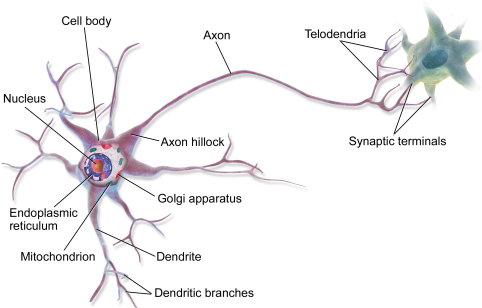
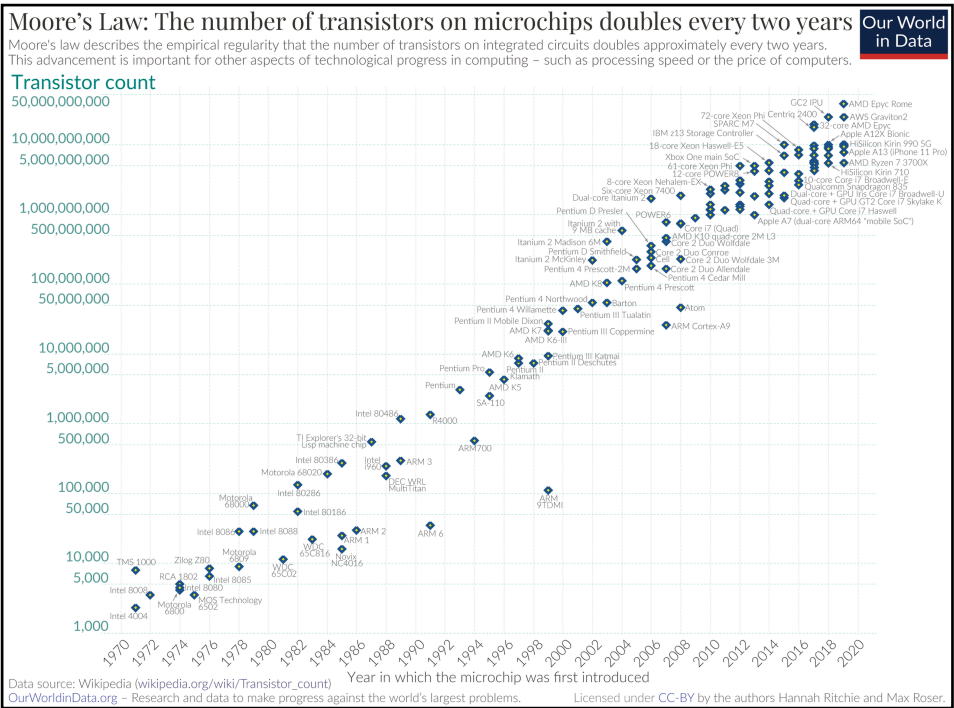




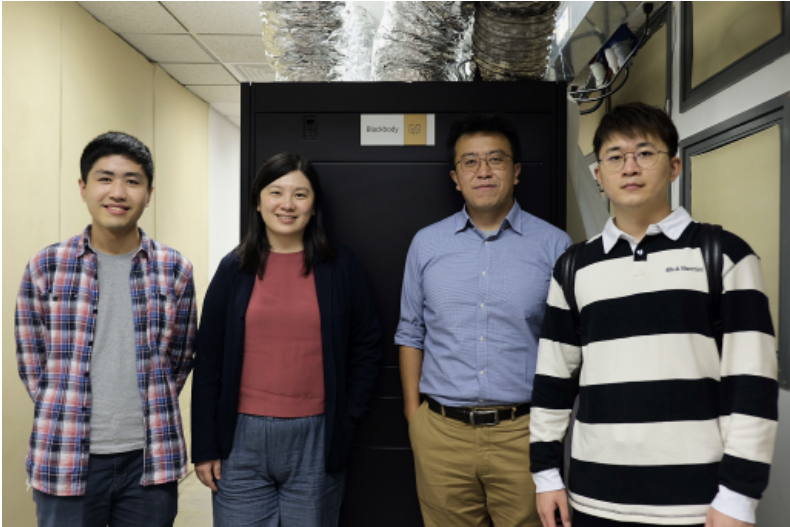
# Computation becomes easy

50 years of supercomputer tracks Moore's law

# transistors doubles every 2 years

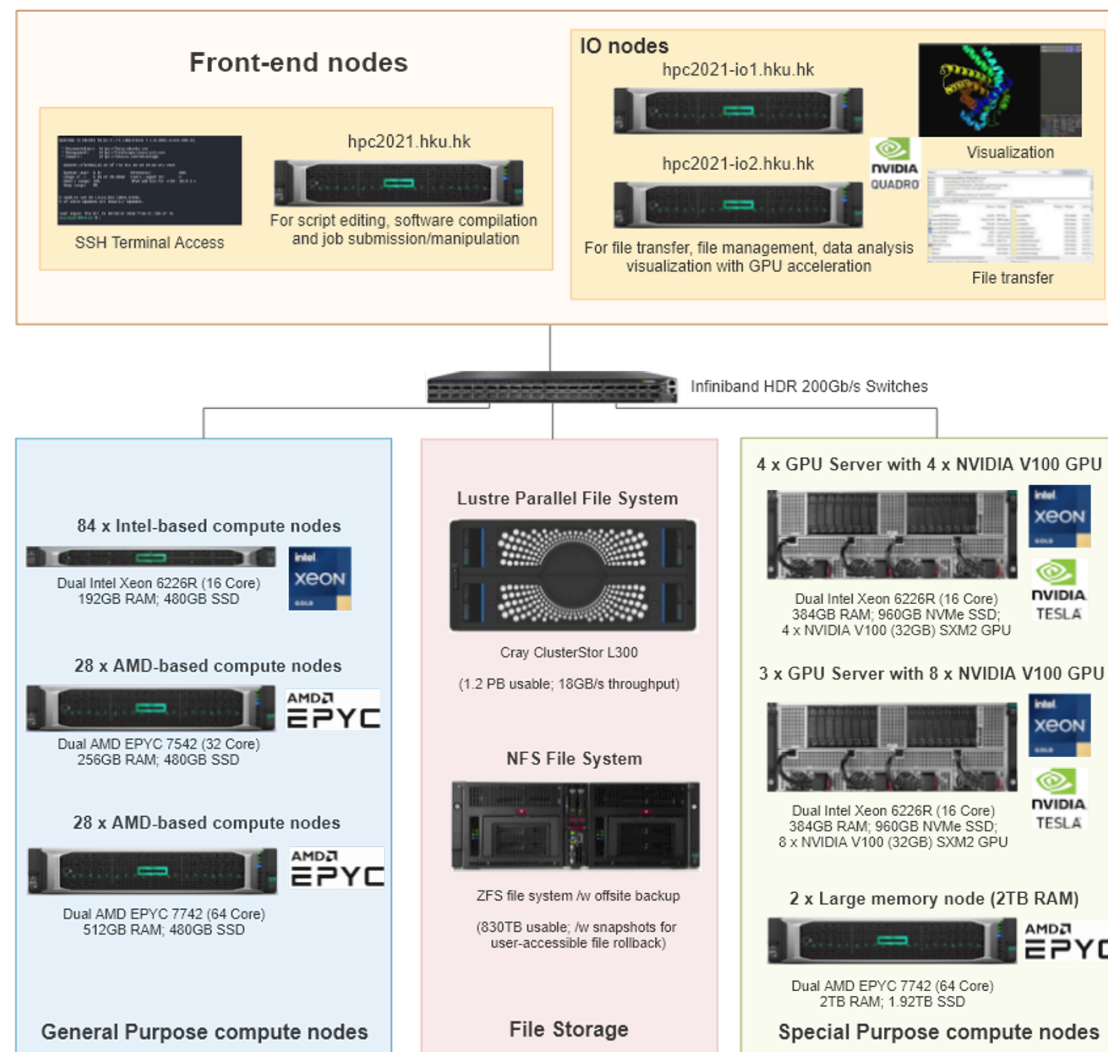


Our own Blackbody



	Supercomputer	Personal Computer	Human Brain
Computational Units	32,000 Xeon CPUs 10 <sup>12</sup> transistors	4 CPUs, 10 <sup>9</sup> transistors	10 <sup>11</sup> neurons
Cycle time	10 <sup>-9</sup> sec	10 <sup>-9</sup> sec	10 <sup>-3</sup> sec
Operations/sec	10 <sup>15</sup>	10 <sup>10</sup>	10 <sup>17</sup>
Memory updates/sec	10 <sup>14</sup>	10 <sup>10</sup>	10 <sup>14</sup>
Weight / Space	150 tons / Basketball court	1 Kg / A4 Paper	1.5 Kg / 1/6 basketball
Power consumption	500 megawatt	100 watt	20 watt

# HPC2021

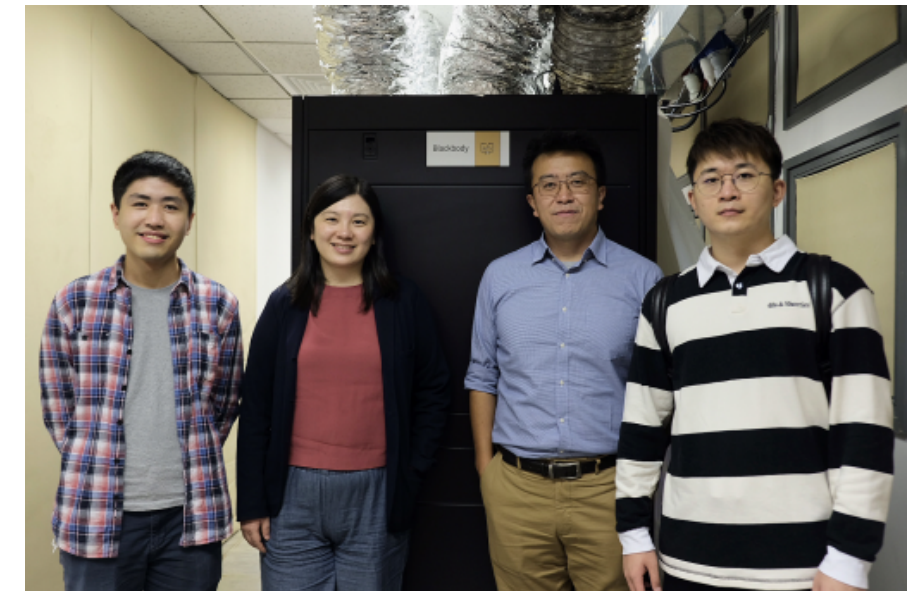


8064 cpu cores

## Our own Blackbody

1024 cpu cores

2022/09



Tianhe-II: 16,000 node, 24 Intel Xeon E5 core CPU, 384,000 in total

2023/11

AMD 7702P (64 core) x 2 x 10 = 1280 cores

AMD 7573X (32 core) x 2 x 1 = 64 cores

AMD 7763 (64 core) x 2 x 7 = 896 cores

AMD 9654 (96 core) x 2 x 2 = 384 cores

Intel(R) Xeon(R) Gold 6226 (12 cores) 2 x 2 = 48 cores (head node)

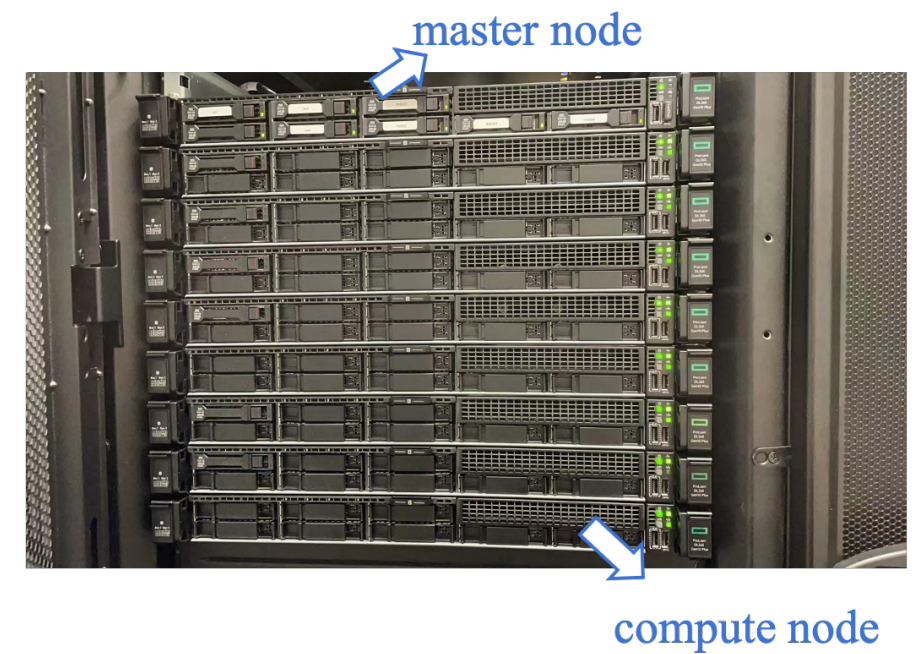
Intel(R) Xeon(R) Platinum 9242 (48 cores) 2 x 4 = 384 cores (computation node)

3056 CPU cores

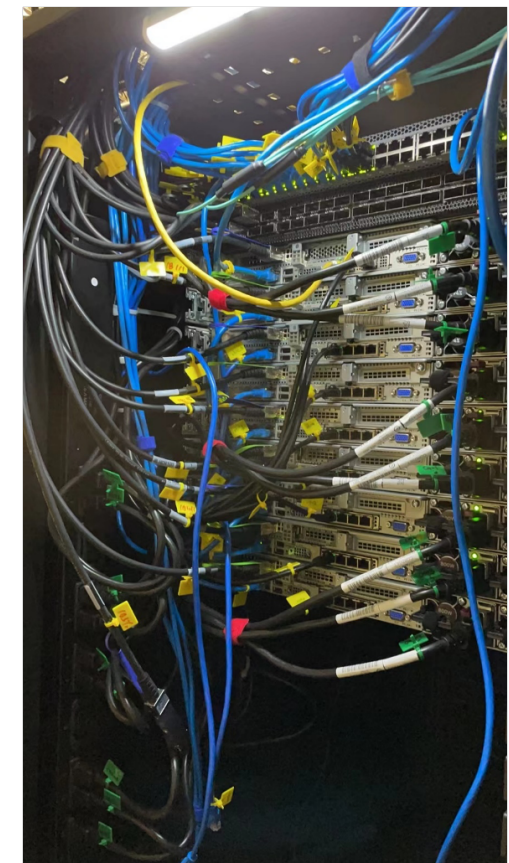




# Our Blackbody Cluster in Room 311 of CYM Building



## Cable Management & Labeling



2 x AMD 7702 64C 2.0 GHz  
512 GB RAM DDR4-3200  
2 x 480 GB RI SSD RAID 1

1024 cpu cores



## Exhaust Pipes and Inrow Cooling



## Electricity



Total Electricity: 24 kW

Current Usage:

cluster ~ 5.4 kW (computing nodes  $0.55 \text{ kW} \times 8$ , head node, storage)

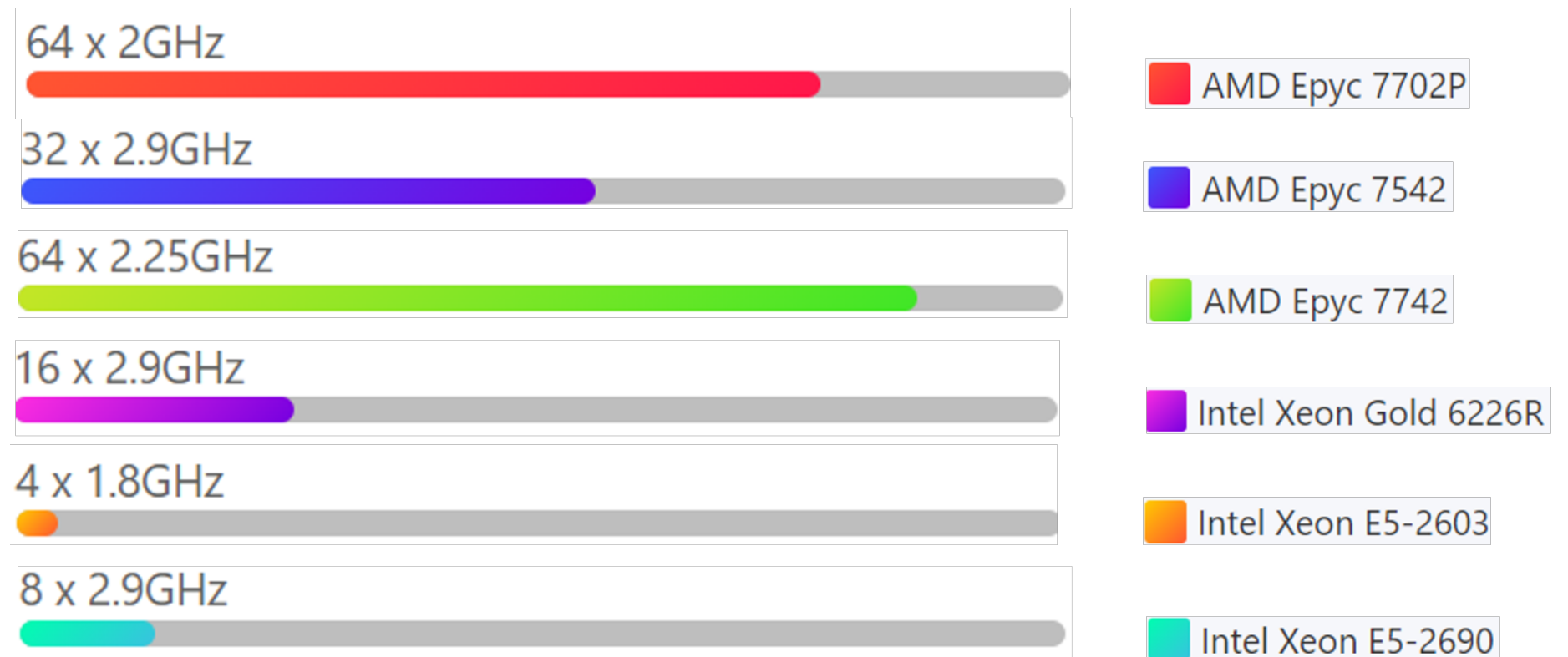
cooling ~ 8 kW (each ~ 2.4 kW)

Around 10 kW electricity for future use.

# CPU Comparison



data from [versus.com](https://www.versus.com)



Blackbody:

AMD 7702P (64 core) × 2 × 8

HPC2021 (general purpose):

AMD 7542 (32 core) × 2 × 28

AMD 7742 (64 core) × 2 × 28

Intel Xeon Gold 6226R (16 core) × 2 × 84

HPC2015 (general) :

Intel Xeon E5-2600 v3 (10 core) × 2 × 104

Tianhe II:

Intel Xeon E5-2692 (10 core) × 2 × 16000



# AI & Machine Learning Basics

**Tradition: Task —> algorithm (algorithm for loop, sorting)**

**Big data era: Don't have algorithm —> lack in knowledge, make up for in data**

Approximation detect certain patterns or regularities, Data Mining

- Model with some parameters, model can be predictive or descriptive.
- Learning is the execution of a computer program to optimise the parameters of the model using the training data or past experience.
- Using theory of statistics, math and physics: building mathematical models, making inference from a sample
- Using computer science: efficient algorithm to solve the optimisation problem, store and process big data; representation and algorithmic solution for inference needs to be efficient
- The computational efficiency may be as important as predictive accuracy

# AI & Machine Learning Basics

Infer hidden association rule from observed data  
In the era of “big data”

## Basket analysis

- In retail, associations between products bought by the customers
- People do not buy at random
- There are certain patterns (association rule) in the data, machine extract them

Conditional probability  $P(Y|X)$ , Y is the product one would like to condition on X,

$$P(\text{chips} | \text{beer}) = 0.8 \quad P(\text{bread} | \text{milk}) = 0.71$$

$P(Y|X, D)$  where D is the set of customs attributes, gender, age, martial ...

Books / Music / Shows :

- P ( Game of Thrones | Fantasy, male ) =
- P ( The daily show | Comedy, layman ) =
- P ( Last Week Tonight with John Oliver | Comedy, sophisticated) =
- P ( Late-night with Seth Meyers | Comedy, politics) = ...

Webpages:

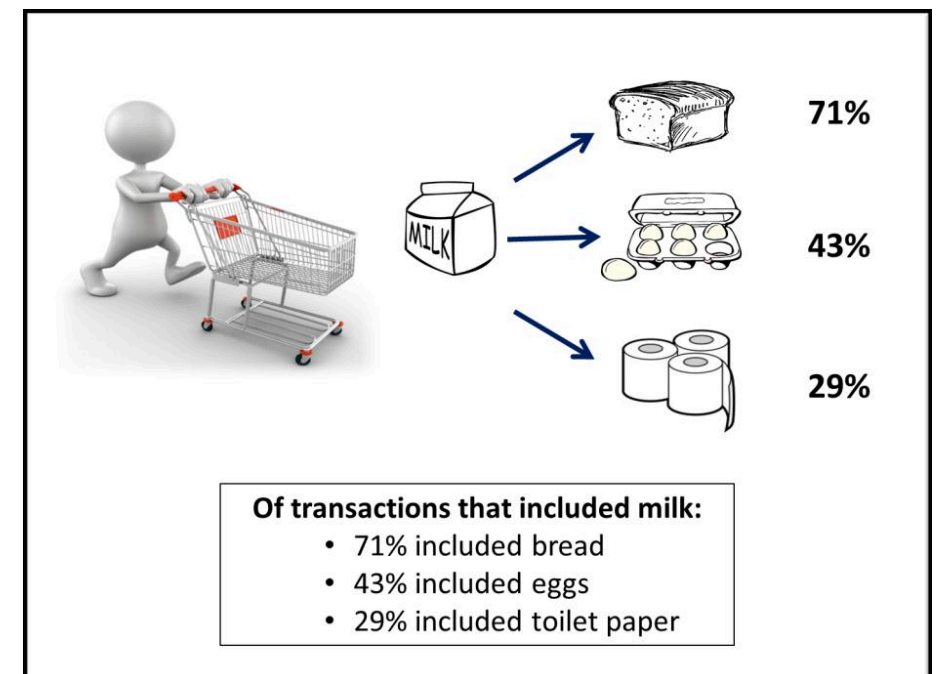
Social medias:

In spam email detection

In Fintech, credit application, stock market

In medical diagnosis, COVID-19

In Science, physics, astronomy, biology, ...



# AI & Machine Learning Basics

**Classification: input  $\rightarrow$  classifier  $\rightarrow$  output**

## **Discriminant (two classes):**

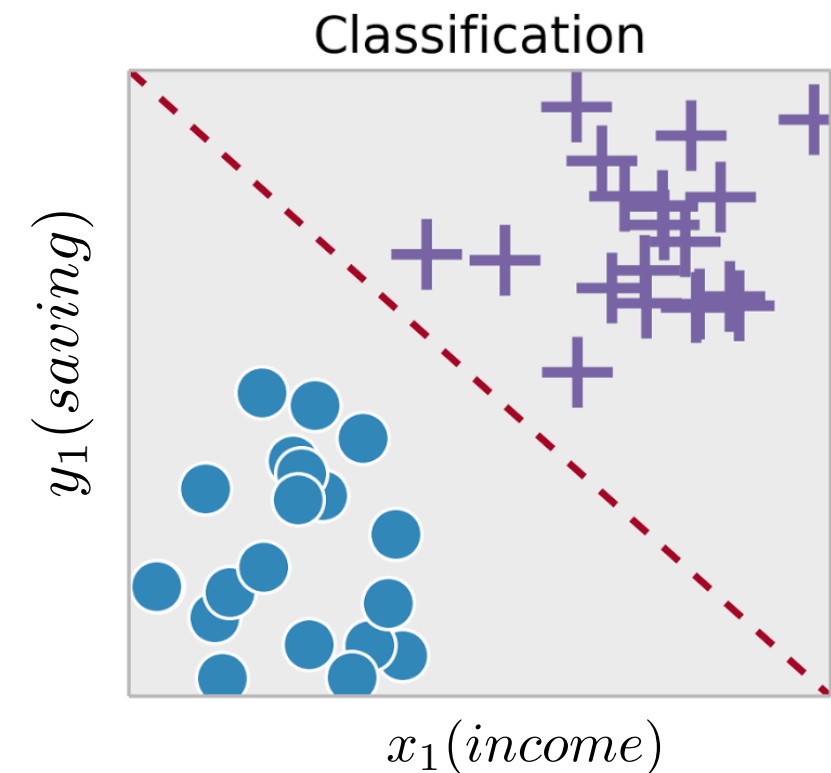
Banks classify credit for low-risk and high-risk customers

income, saving, profession, age, past financial history, ...

Machine learning fits a model to the past data,  
calculate the risk for a new application,  
decide to accept or refuse

We have a rule that fits the past data, if the future is similar to the past

Predictions: decide new customer is low-risk and high-risk



## **Pattern recognition (multiple classes):**

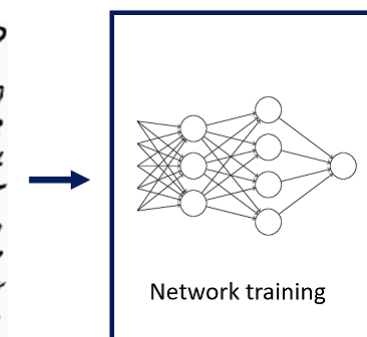
Handwritten character recognition, MNIST database

Face recognition, medical diagnosis,

Speech recognition, time series, machine translation,  
natural language processing

0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1  
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2  
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3  
4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4  
5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5  
6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6  
7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7  
8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8  
9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9

Data & Labels



0  
1  
2  
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9

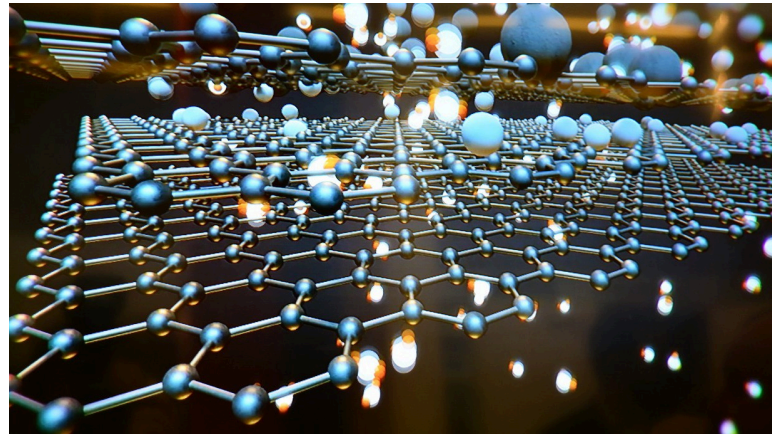
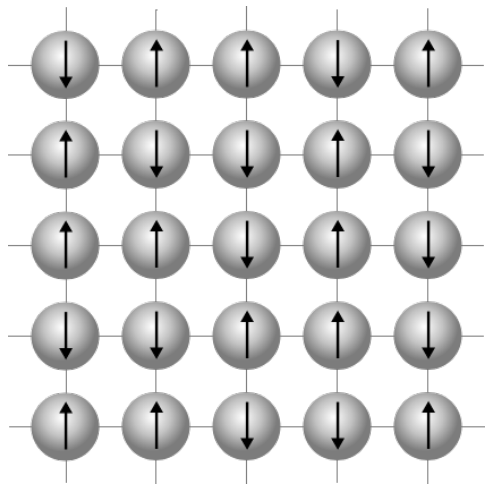
## **Knowledge extraction: rule is simpler than data**

Phase transition, Landau-Ginzburg paradigm, order parameters

# AI & Machine Learning Basics

Ising model, continuous phase transition  
workhorse for statistical physics

<https://mattbierbaum.github.io/ising.js/>



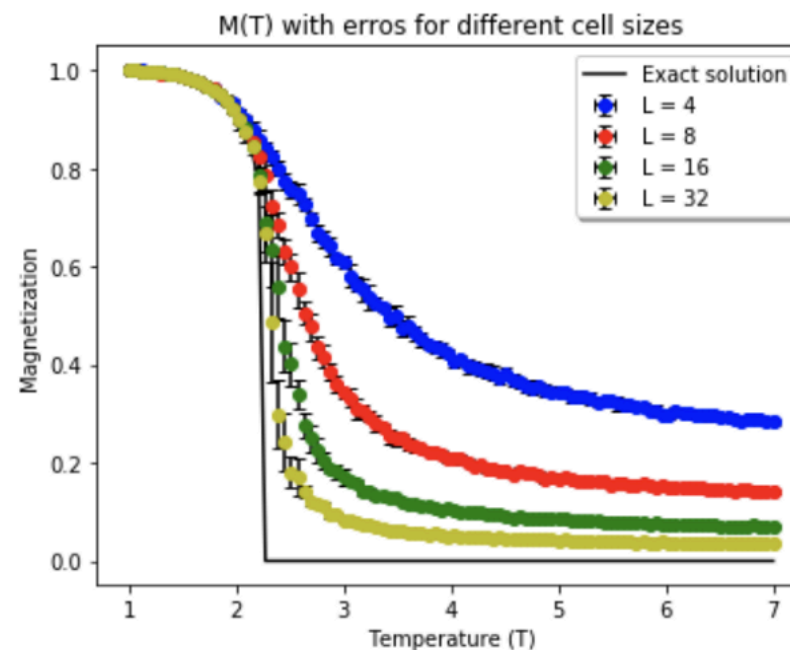
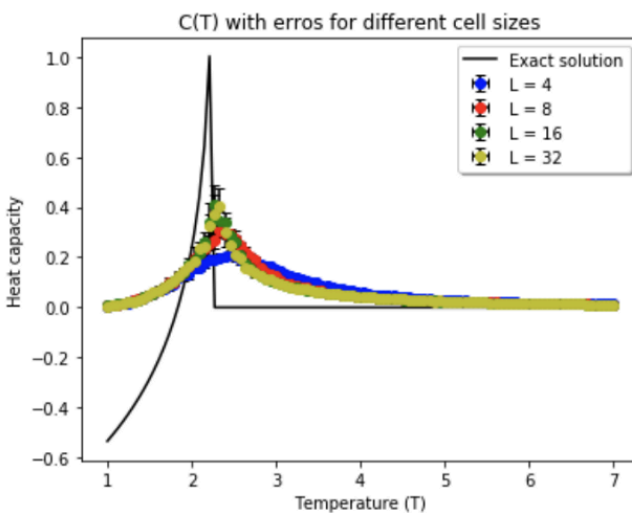
$$H = -J \sum_{\langle i,j \rangle} S_i^z S_j^z \quad S_i^z = \pm 1$$

Configuration space:  $2^N$

[https://en.wikipedia.org/wiki/Ising\\_model#/media/File:Ising\\_quench\\_b10.gif](https://en.wikipedia.org/wiki/Ising_model#/media/File:Ising_quench_b10.gif)

$$m = \frac{1}{N} \left| \sum_{i=1}^N S_i^z \right|$$

$m(T) = |T - T_c|^\beta$  with  $\beta = 1/8$  in 2D



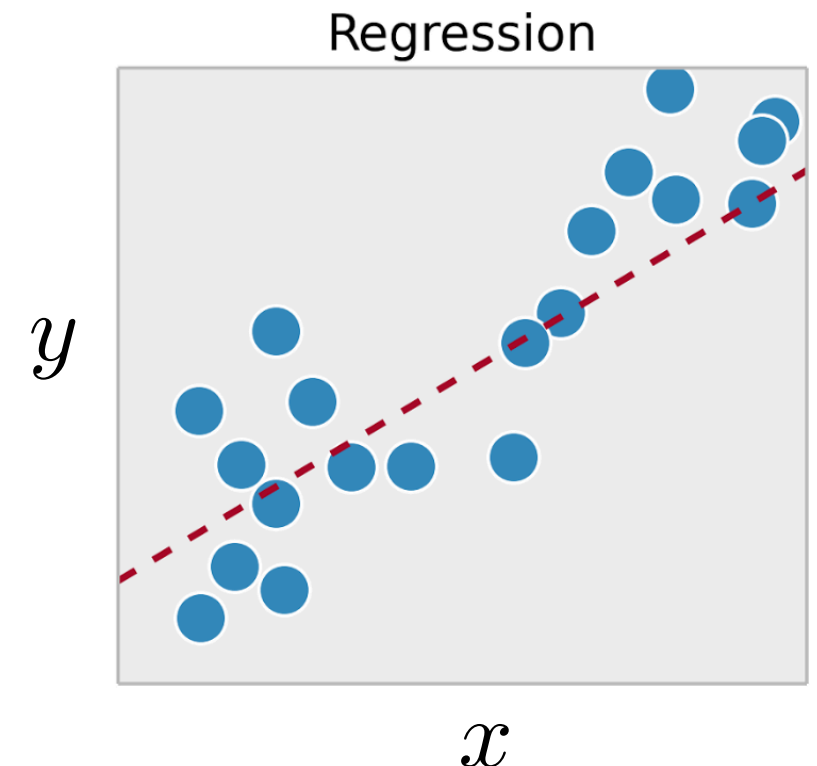
# AI & Machine Learning Basics

**Regression:**  $y = h_{\Theta}(x) = \Theta \cdot x$

$$\{(x_j^{(i)}, y^{(i)}), \theta_j\}; j = 1, 2, \dots, N; i = 1, 2, \dots, M; N < M$$

$$y^{(i)} = \theta_0 + \theta_1 x_1^{(i)} + \theta_2 x_2^{(i)} + \dots + \theta_N x_N^{(i)}$$

$$\begin{bmatrix} 1 & x_1^{(1)} & x_2^{(1)} & \dots & x_N^{(1)} \\ 1 & x_1^{(2)} & x_2^{(2)} & \dots & x_N^{(2)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_1^{(M)} & x_2^{(M)} & \dots & x_N^{(M)} \end{bmatrix} \cdot \begin{bmatrix} \theta_0 \\ \theta_1 \\ \vdots \\ \theta_N \end{bmatrix} = \begin{bmatrix} y^{(1)} \\ y^{(2)} \\ \vdots \\ y^{(M)} \end{bmatrix}$$



**Prediction & forecasting:**

$$\underline{\underline{X}} \cdot \underline{\underline{\Theta}} = \underline{\underline{Y}}$$

Least squares by Legendre 1805 and Gauss 1809  
normal equation, Gradient descent and Conjugate Gradients, Lagrange multiplier

- Model / hypothesis

$$h_{\Theta}(x)$$

- Loss / cost function

$$\mathcal{L}(\Theta|X) = \sum_{i=1}^M L(y^{(i)}, h_{\Theta}(x^{(i)}))$$

- Optimisation procedure

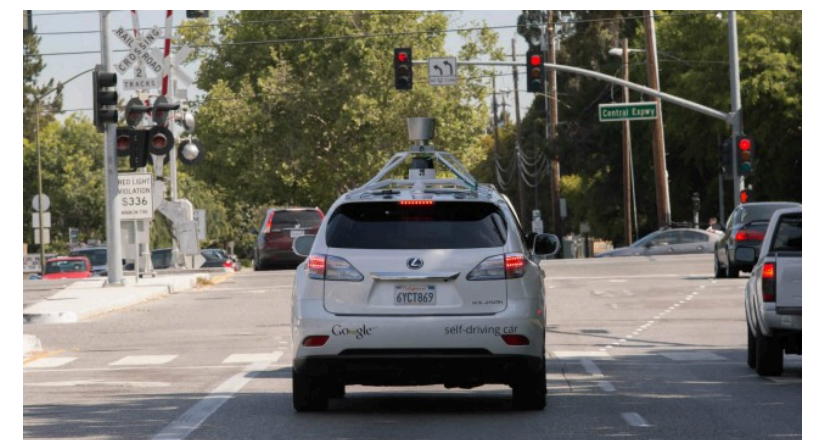
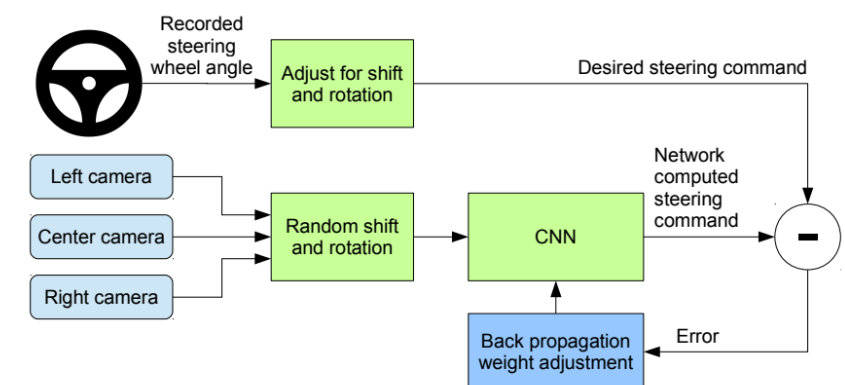
$$\Theta^* = \arg \min_{\Theta} \mathcal{L}(\Theta|X)$$

## Example: Self-Driving car

Input: sensors on the car, video camera, GPS, ...;

Output: steering wheel;

Training data: monitoring and recording the action of human driver





# AI & Machine Learning Basics

## Supervised Learning: Classification & Regression

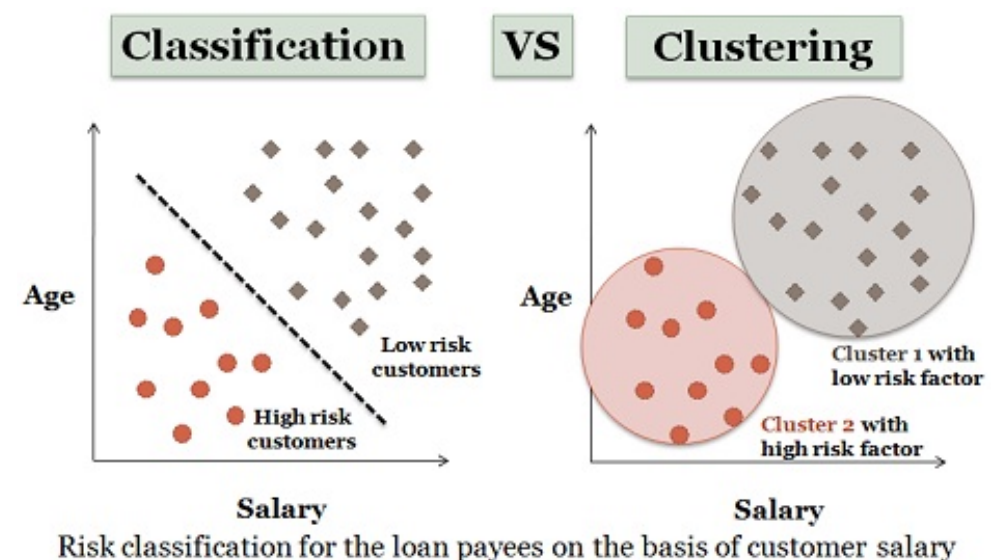
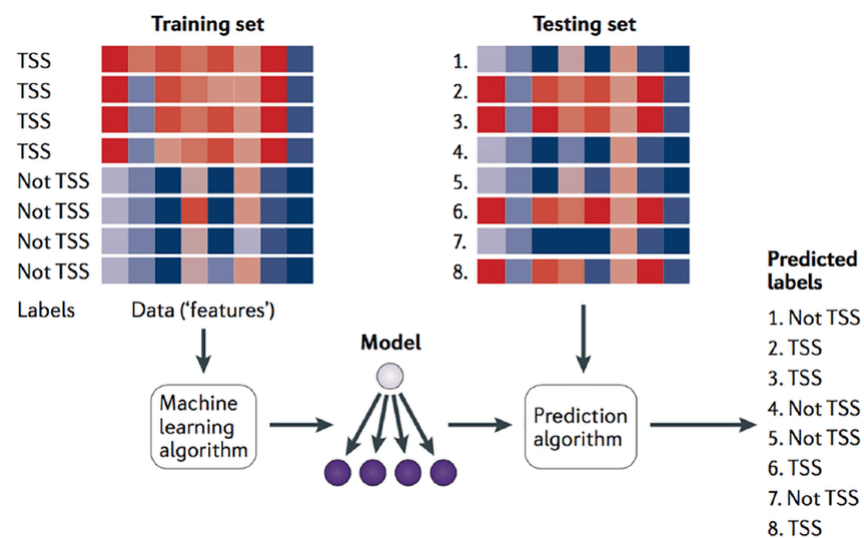
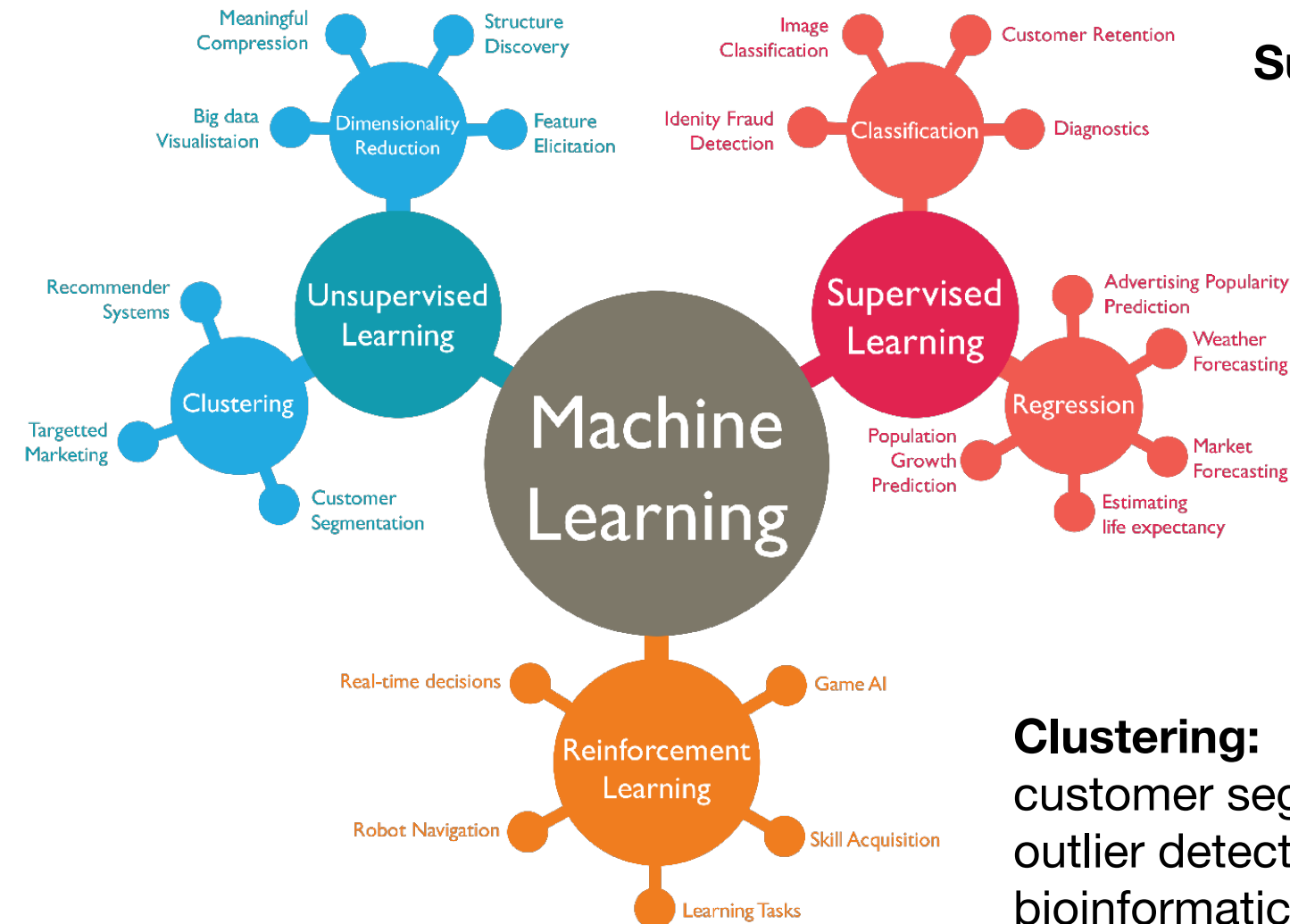
Input → machine/model → Output  
Correct outputs are provided by the supervisor

## Unsupervised Learning: only have input data

Find regularities from the input

## Clustering:

customer segmentation, customer relationship management, outlier detection; Image compression  
bioinformatics: DNA, RNA, amino acids, Motif, Proteins, sequence alignments



# AI & Machine Learning Basics

**Reinforcement learning (policy generation):**  
**Single action is not important, good policy is the sequence of correct actions.**

**Game playing:**



AlphaGo is CNN with 12 convolution layers

**Robot navigation:**



Correct sequence of action to reach the goal state from an initial state

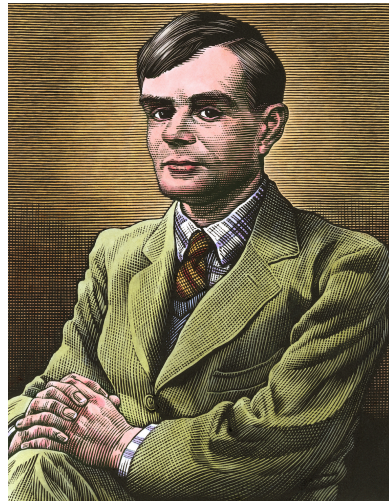
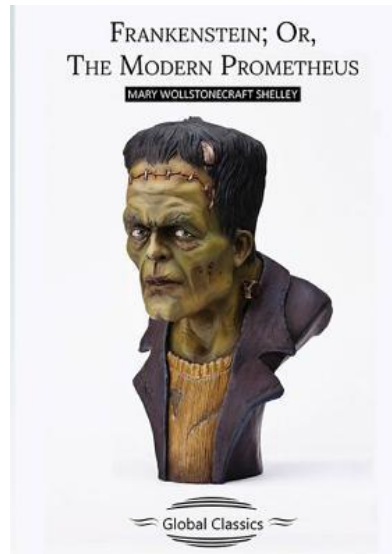
**Watch this!**

<https://www.bostondynamics.com/spot>



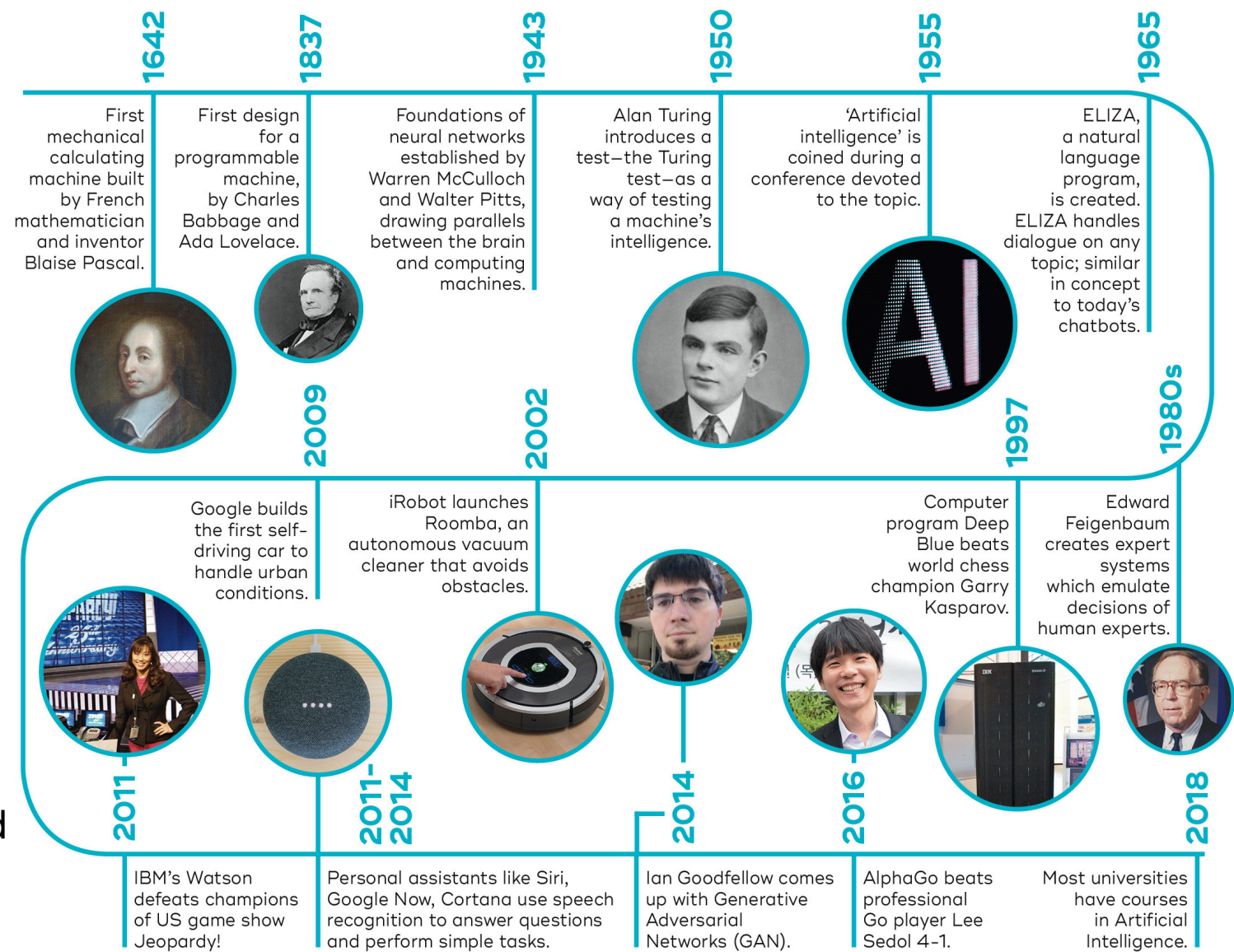
# AI & Machine Learning Basics

## A bit of history



Church-Turing thesis:

If a human could not distinguish between responses from a machine and a human, the machine could be considered “intelligent”.



1943, McCulloch and Pitts, artificial neurons  
1955, workshop at Dartmouth College, Allen Newell (CMU), Herbert Simon (CMU), John McCarthy (MIT), Marvin Minsky (MIT), ...  
1958, Rosenblatt, perceptron  
1974, first AI winter  
1987, second AI winter  
1997, IBM Deepblue vs Kasparov, logistics, data mining, medical diagnosis, ...  
2016, Alpha Go vs Lee Sedol  
2017, Alpha Go vs Ke Jie  
Xbox, Smartphone, affordable neural networks, cloud computing, internet of things ...  
2020, COVID-19



# Content



## 0. Introduction

## 1. Regression

1.1 Multivariate Linear Regression (curve fitting)

1.2 Regularization (Lagrange multiplier)

1.3 Logistic Regression (Fermi-Dirac distribution)

1.4 Support Vector Machine (high-school geometry)

## 2. Dimensionality Reduction/feature extraction

2.1 Principal Component Analysis (order parameters)

2.2 Recommender Systems

2.3 Clustering (phase transition)

# Content



## **3. Neural Networks**

**3.1 Biological neural networks**

**3.2 Mathematical representation**

**3.3 Factoring biological ingredient**

**3.4 Feed-forward neural networks**

**3.5 Learning algorithm**

**3.6 Universal Approximation Theorem**