PHYS3151 (6 credits)



Time & Place: Tue 13:30-14:20, 14:30-15:20 MB 122

Fri 14:30-15:20 MB 142

Teachers: Zi Yang Meng ( zymeng@hku.hk ), HOC 231

https://quantummc.xyz/hku-phys3151-machine-learning-in-physics-2023/

Tutor: Ting-Tung Wang (<a href="mailto:leowdd@connect.hku.hk">leowdd@connect.hku.hk</a> ), HOC 217

## Teaching Materials:

https://quantummc.xyz/hku-phys3151-machine-learning-in-physics-2023/

Slides / Reading materials Python notebooks Assignments

## **Assessment Methods and Weighting**

- Assignments 30%
- Presentation 20%
- Project report 20%
- Exam. 30%

Literature: Books

there are many, actually too many



- Ethem Alpaydin, Introduction to Machine Learning, Third Edition, MIT Press 2014
- Simon Haykin, Neural Networks and Learning Machines, Third Edition, Pearson 2009
- Stuart Russell, Peter Norvig, Artificial Intelligence, Third Edition, Pearson 2010

Literature: Online material

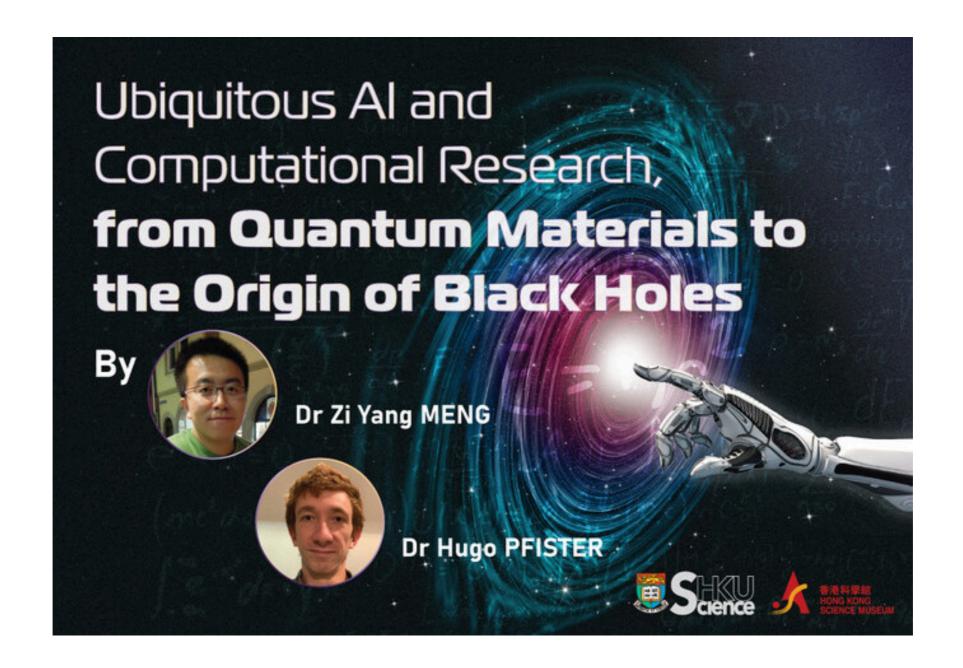
Andrew Ng, Stanford University <a href="http://www.holehouse.org/mlclass/">http://www.holehouse.org/mlclass/</a>

https://www.youtube.com/playlist?list=PLLssT5z\_DsK-h9vYZkQkYNWcltqhlRJLN

Neuroscience For Kids <a href="http://faculty.washington.edu/chudler/neurok.html">http://faculty.washington.edu/chudler/neurok.html</a>

Michael Nielsen, scientist at home, the best reading material for NN

http://neuralnetworksanddeeplearning.com



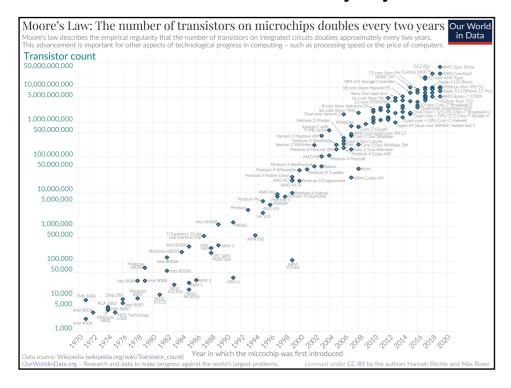
Hong Kong Science Museum 01/15/2022

https://www.scifac.hku.hk/events/ai-computational-research

## Computation becomes easy

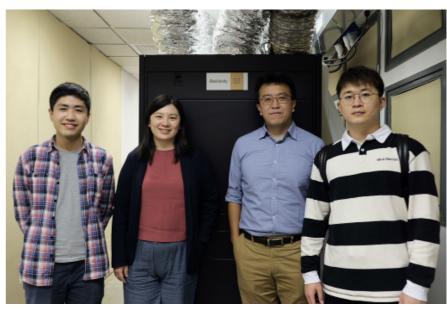
50 years of supercomputer tracks Moore's law

### # transistors doubles every 2 years



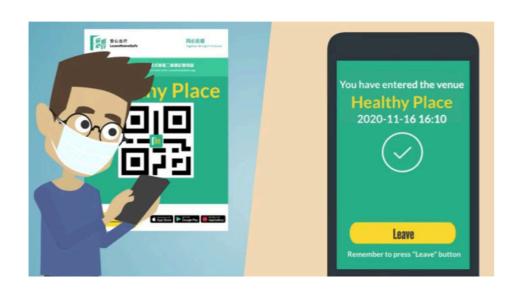
|                     | Supercomputer                         | Personal Computer        | Human Brain             |
|---------------------|---------------------------------------|--------------------------|-------------------------|
| Computational Units | 32,000 Xeon CPUs<br>10^12 transistors | 4 CPUs, 10^9 transistors | 10^11 neurons           |
| Cycle time          | 10^-9 sec                             | 10^-9 sec                | 10^-3 sec               |
| Operations/sec      | 10^15                                 | 10^10                    | 10^17                   |
| Memory updates/sec  | 10^14                                 | 10^10                    | 10^14                   |
| Weight / Space      | 150 tons / Basketball court           | 1 Kg / A4 Paper          | 1.5 Kg / 1/6 basketball |
| Power consumption   | 500 megawatt                          | 100 watt                 | 20 watt                 |

### Our own Blackbody





## In the era of AI & Big data



**QR / Face Recognition** 



In April 2017, AlphaGo vs. Jie Ke





- > The machine played perfect...
- > I am so behind, unbelievable...
- > AlphaGo is not the God, but it is a superior species than human being...

### **AlphaGo**

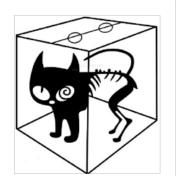


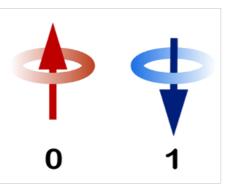
**Smart Robots** 

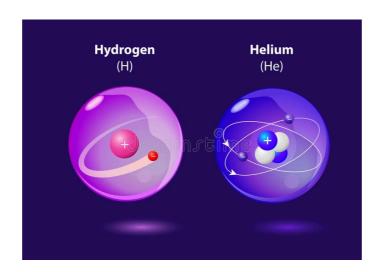
https://www.bostondynamics.com/

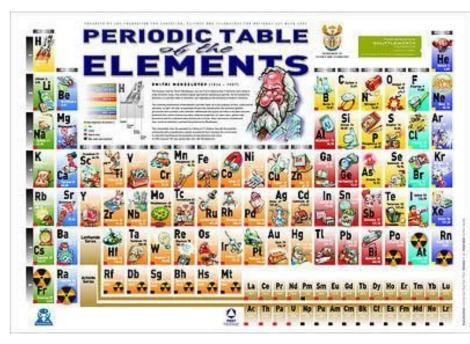
**Self-driving Car** 

## Computation on quantum bit and quantum entanglement









### Lead to the famous "exponential wall"

 $2^N$  Multi-electron atoms, cannot be solved exactly





Krishna and Radha playing chaturanga

N = 10  $2^{10} = 1,024 \sim 10^3$ 

N = 20  $2^{20} = 1,048,576 \sim 10^6$ 

N = 30  $2^{30} = 1,073,741,824 \sim 10^9$ 

N = 40  $2^{40} = 1,099,511,627,776 \sim 10^{12}$ 

N = 50  $2^{50} = 1,125,899,906,842,624 \sim 10^{15}$ 

Wheat grains on chessboard — Sissa ibn Dahir, inventor of Chaturanga

 $2^{64} - 1 = 18,446,744,073,709,551,615$  grains of wheat, weighing about 1,199,000,000,000 tons. About 1,645 times the global production of wheat.

# AlphaGo-1

In March 2016, AlphaGo played with Lee Sedol in Seoul.







- its psychological aspects (its relentless concentration) no human can match it…
- > The Go skill has improved surprisingly ...

## AlphaGo-2

In April 2017, AlphaGo vs. Jie Ke





- > The machine played perfect...
- > I am so behind, unbelievable...
- AlphaGo is not the God, but it is a superior species than human being...





## What happened to AlphaGo?

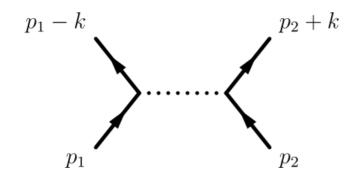
- In January 2016, researchers revealed that AlphaGo could play 1 million games in 4 weeks. This means that AlphaGo could play 30,000 games per day. How many games could Lee and Ke play? 10 games/day, 82 years (30,000 days), only 300,000 games only. AlphaGo has played 300,000,000 games after march 2016!!! → Big Data!!!
- Till now, only ~2 million games in total. AlphaGo actually learned from data generated by itself→ Self learning!!!
- 3. Software/Algorithm: 12 layers → 40 layers. Make it more complicated!!!
- Hardware: TPU1 → TPU2. New Hardware is necessary!!!

Tensor processing unit (TPU) by google

# Deep Learning And Physics DLAP2019 > Yukawa Institute for Theoretical Physics | Kyoto, Japan | 31 Oct - 2 Nov 2019 |



Hideki Yukawa 汤川秀树



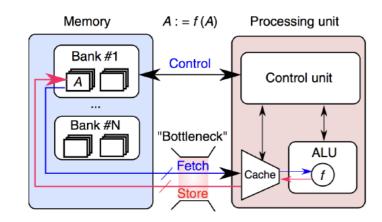


## Challenges 1: models are more complicated

~ 100 layers, ~ 10^6 weights/parameters

## Challenges 2: memory bottleneck

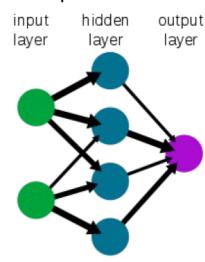
Data fetch is much expensive than data process



Large on-chip memory, bring computing and memory closer, using low precision computing.



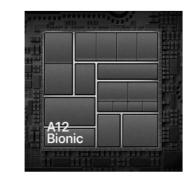
#### A simple neural network



### Neural Processing unit (NPU) for AI computing



TPU by Google

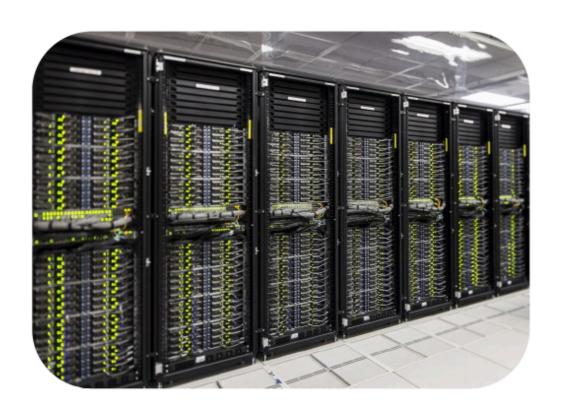


A12 Bionic by Apple



Kirin 980 by Huawei

## Challenges 3: energy consumption



AlphaGo:

☐ 176 GPUs, 1202 CPUs

☐ 150, 000 Watts



Jie Ke:

■ 1.2L Human Brain

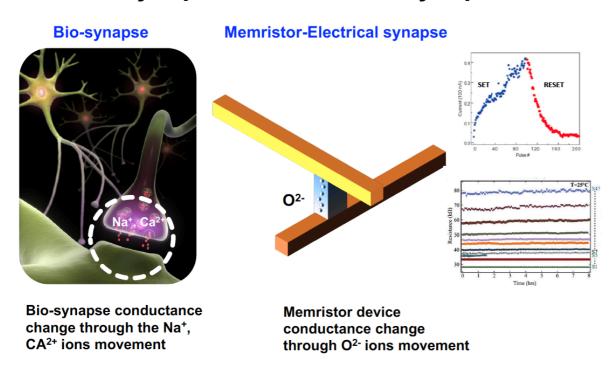
□ ~20 Watts

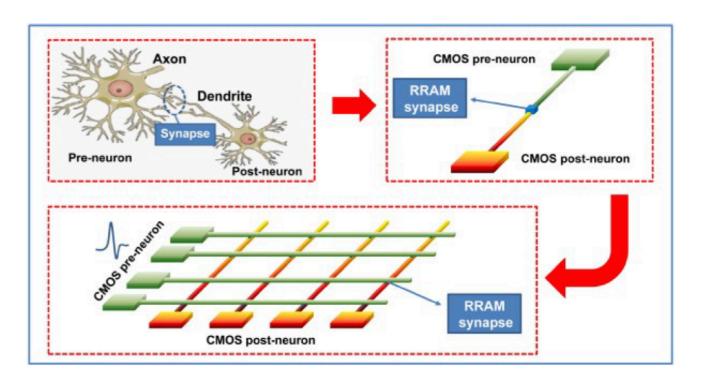
Huge power gap between human brain and CMOS-based Al system

➤ It is much needed to develop **new hardware** with **new device** and **new architecture and new algorithm.** 

## Bio-synapse vs. Electrical synapse

## Neuromorphic computing

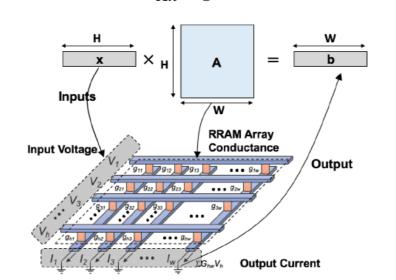




Ax = b  $O(N^2)$   $x = A^{-1}b$ 

 $\mathbb{R}^{N imes N}$ 

• Vector-Matrix-Multiplication (VMM) Ax = b



☐ Linear equation solver

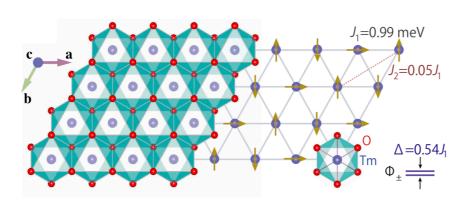
$$Ax = b -> x = A^{-1}b$$

$$A = H$$

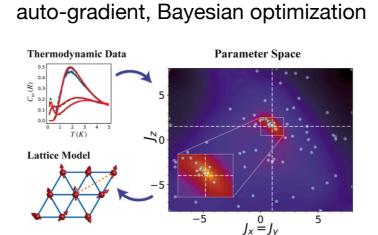
$$A =$$

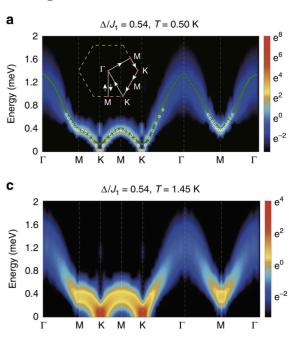
Computing with physical law in memory: Ohm' Law and Kirchhoff' Law

Quantum material research connecting physicists in Hong Kong, Beijing and Shanghai



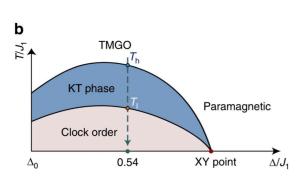
Nature Communications 11, 1111 (2020)

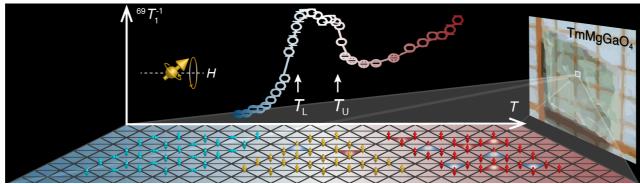




Confirming simulated calculations, quantum material research reveals topological KT phase

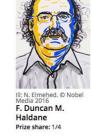
Nuclear magnetic resonance — MRI / CT scans



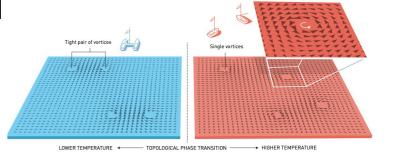


### The Nobel Prize in Physics 2016









Nature Communications 11,5631 (2020)

## Computation and AI could solve the energy crisis



electric power transmission at high voltage

Maglev (magnetic levitation) bullet train with 600 k/h

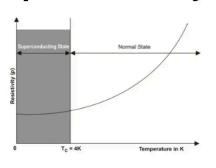


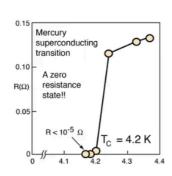




### **Understanding Quantum Metals**

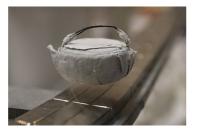
# What is Superconductivity?



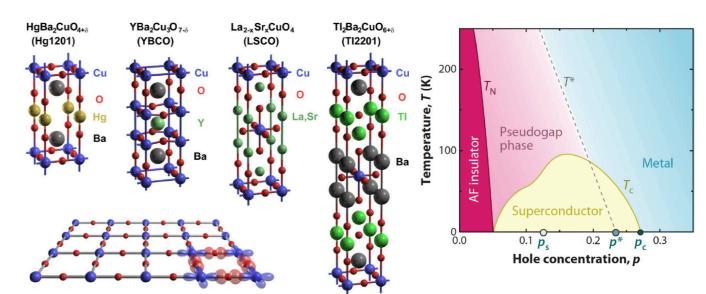


Dutch Physicist Heike Kamerlingh Onnes in 1911

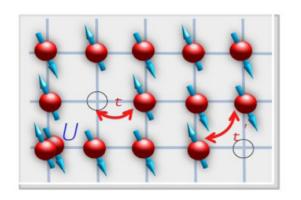
T>T<sub>C</sub> T<T<sub>C</sub>

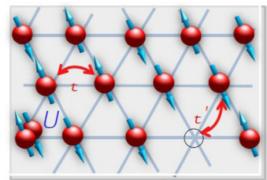


High-temperature superconductors at ~ -100°C

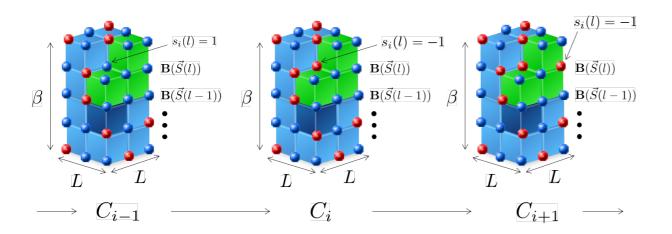


superconductors at ~ -270°C





### Self-learning Monte Carlo is explainable-Al



$$Z = \sum_{\{\mathcal{C}\}} e^{-\beta \hat{H}} = \sum_{\{\mathcal{C}\}} \underbrace{\phi[\mathcal{C}] \det \left(\mathbf{1} + \mathbf{B}_{\beta} \cdots \mathbf{B}_{1} \mathbf{B}_{0}\right)[\mathcal{C}]}_{\omega[\mathcal{C}]} = \sum_{\{\mathcal{C}\}} e^{-\beta H_{eff}[\mathcal{C}]}$$

Conv3dTranspose

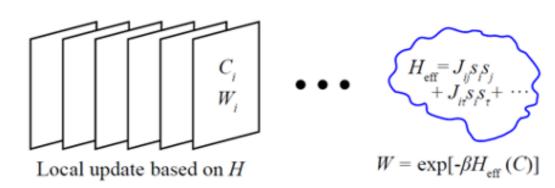
1@36×6×3



one of the Delphic maxims and was inscribed in the pronaos (forecourt) of the Temple of Apollo at Delphi

Trilogy of self-learning

Phys. Rev. B 96, 041119(R) (2017) Phys. Rev. B 95, 241104(R) (2017) Phys. Rev. B. 95. 041101(R) (2017)



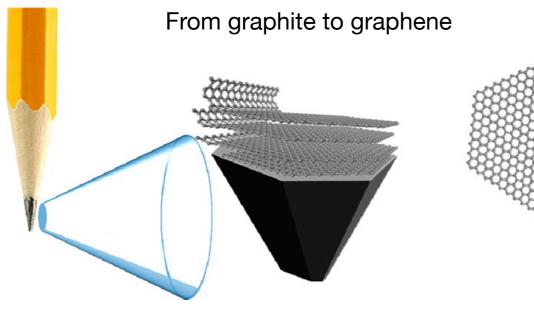
- Reduce the computation complexity from  $O(N^4)$  to  $O(N^3)$
- Increase the acceptance rate of Monte Carlo
- Learn the effective model for quantum materials
- Save the planet

Chin. Phys. Lett. 39, 050701 (2022)

Conv3dTranspose

20@16×6×3

## Computation and AI in graphene face masks



The Nobel Prize in Physics 2010



© The Nobel Foundation. U. Montan Andre Geim Prize share: 1/2

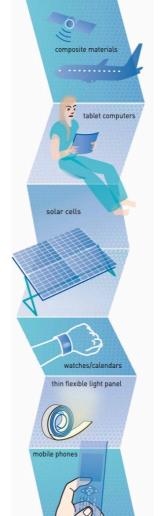


© The Nobel Foundation. Photo: U. Montan Konstantin Novoselov Prize share: 1/2

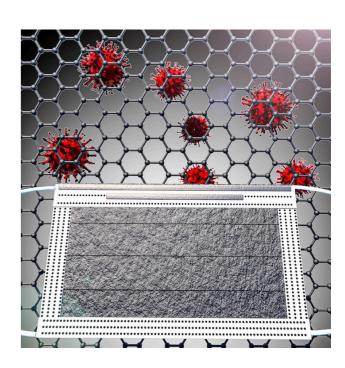
The 2000 Ig Nobel Prize in physics was awarded to Andre Geim, Radboud University Nijmegen, and Michael Berry, University of Bristol, UK, for the magnetic levitation of a live frog.







Graphene joins the fight against COVID-19

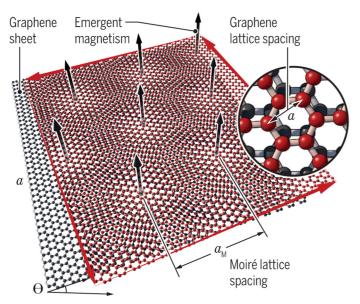


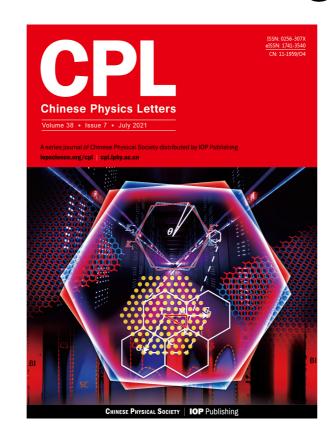


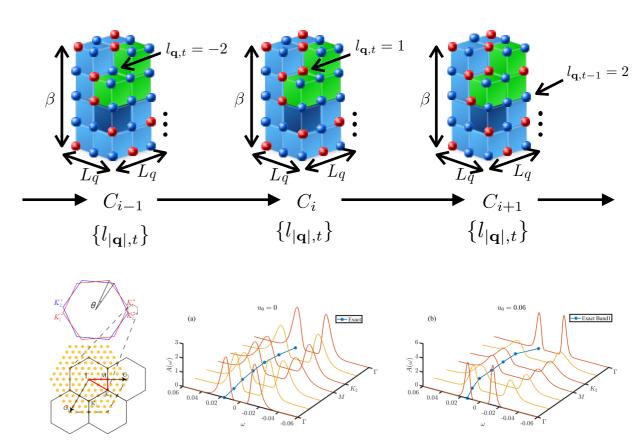
## Computation and AI in graphene face masks

### **Twisted bilayer graphene**

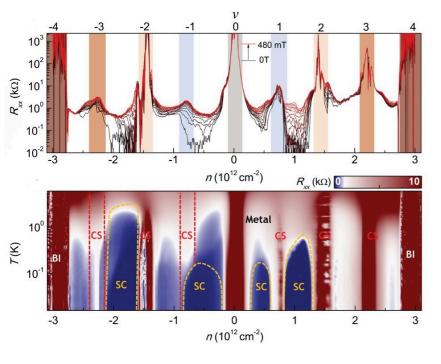
The two sheets are twisted by a small angle  $(\Theta)$ , creating a Moiré pattern that makes the bilayer both electrically insulating, with conducting edge states (red arrows), and magnetic.

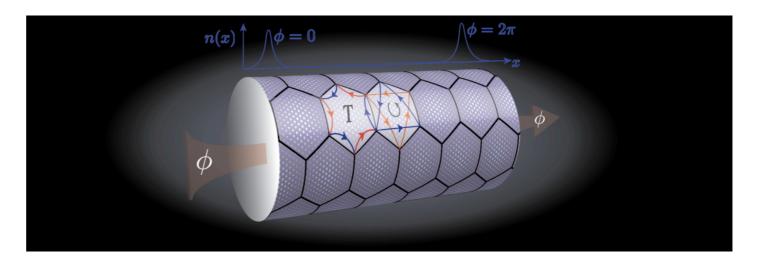






Chin. Phys. Lett. 38, 077305 (2021) Cover story



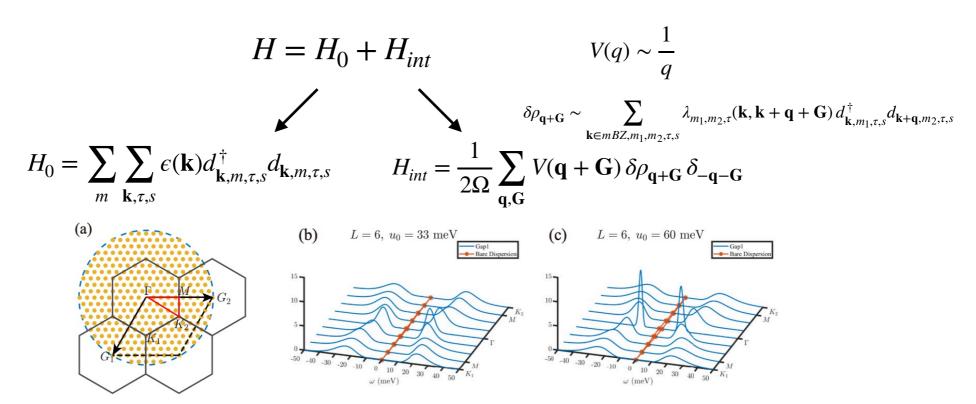


Nature Communications 12, 5480 (2021)

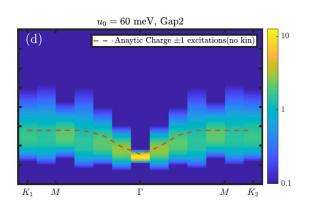
## Momentum space quantum Monte Carlo algorithm

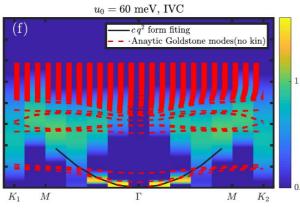
# Chinese Physics Letters Chinese Physics Letters Volume 38 \* Issue 7 \* July 2021 A series journal of Chinese Physical Society distributed by IOP Publishing legislence.org/cpl | cpublish.ac.ni

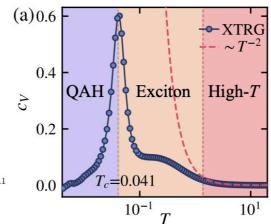
## Long-range Coulomb + fragile topology



### Collective excitations







## Tensor-network, thermodynamic computation

**nature** awards science in shorts

## Science in Shorts playlist 2022

Watch and share the best Shorts from 2022.
Which is your favourite?



Phys. Rev. Lett. 130, 016401 (2023)
Phys. Rev. Lett. 128, 157201 (2022)
Phys. Rev. B 105, L121110 (2022)
Nature Communications 12, 5480 (2021)

https://youtu.be/c5-bFYELO28

#### The quantum teleportation pencil

Are you sitting comfortably? And concentrating hard? We're entering the realms of superfast computing based on quantum teleportation via twisted graphene lattices. But don't worry, you'll be fine as long as you have a pencil handy.

- Bin Bin Chen & Zi Yang Meng
- ${\color{red} f 1}$  The University of Hong Kong

Tradition: Task —> algorithm (algorithm for loop, sorting)

Big data era: Don't have algorithm -> lack in knowledge, make up for in data

Approximation detect certain patterns or regularities, Data Mining

- Model with some parameters, model can be predictive or descriptive.
- Learning is the execution of a computer program to optimise the parameters of the model using the training data or past experience.
- Using theory of statistics, math and physics: building mathematical models, making inference from a sample
- Using computer science: efficient algorithm to solve the optimisation problem, store and process big data; representation and algorithmic solution for inference needs to be efficient
- The computational efficiency may be as important as predictive accuracy

# Infer hidden association rule from observed data In the era of "big data"

### **Basket analysis**

- In retail, associations between products bought by the customers
- People do not buy at random
- There are certain patterns (association rule) in the data, machine extract them

Conditional probability P(Y|X), Y is the product one would like to condition on X,

 $P(\text{chips} \mid \text{beer}) = 0.8 \quad P(\text{bread} \mid \text{milk}) = 0.71$ 

P(Y|X, D) where D is the set of customs attributes, gender, age, martial ...

### Books / Music / Shows:

P (Game of Thrones | Fantasy, male) =

P (The daily show | Comedy, layman) =

P (Last Week Tonight with John Oliver | Comedy, sophisticated) =

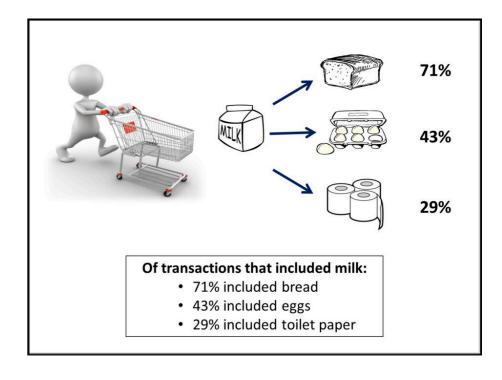
P (Late-night with Seth Meyers | Comedy, politics) = ...

### Webpages:

### Social medias:

In spam email detection In Fintech, credit application, stock market In medical diagnosis, COVID-19 In Science, physics, astronomy, biology, ...





### Classification: input -> classifier -> output

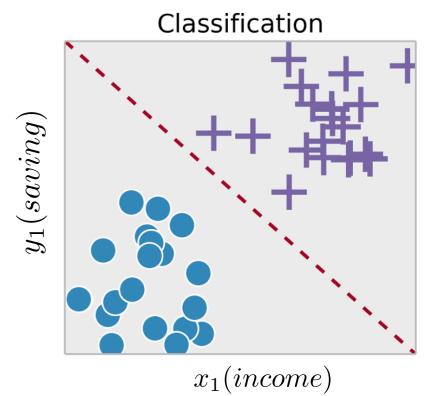
### Discriminant (two classes):

Banks classify credit for low-risk and high-risk customers

income, saving, profession, age, past financial history, ...

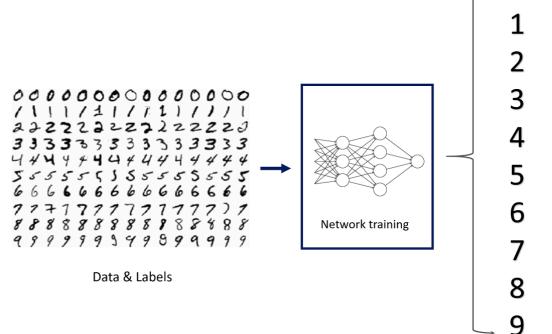
Machine learning fits a model to the past data, calculate the risk for a new application, decide to accept or refuse
We have a rule that fits the past data, if the future is similar to the past

Predictions: decide new customer is low-risk and high-risk



## Pattern recognition (multiple classes):

Handwritten character recognition, MNIST database Face recognition, medical diagnosis, Speech recognition, time series, machine translation, natural language processing

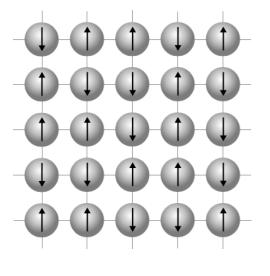


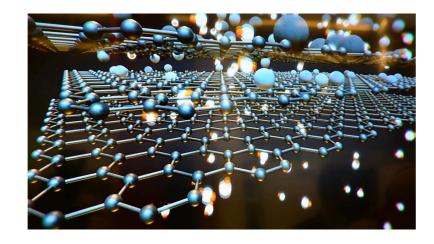
## Knowledge extraction: rule is simpler than data

Phase transition, Landau-Ginzburg paradigm, order parameters

Ising model, continuous phase transition workhorse for statistical physics

https://mattbierbaum.github.io/ising.js/

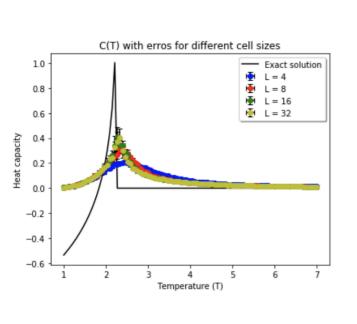


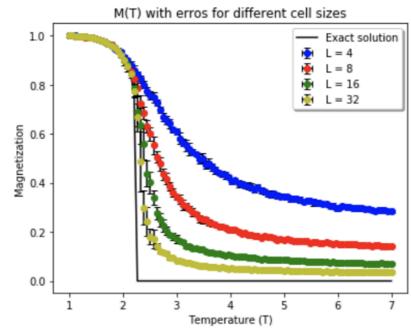


$$H = -J \sum_{\langle i,j \rangle} S_i^z S_j^z \qquad S_i^z = \pm 1$$

Configuration space:  $2^N$ 

https://en.wikipedia.org/wiki/Ising\_model#/media/File:Ising\_quench\_b10.gif





$$m = \frac{1}{N} \left| \sum_{i=1}^{N} S_i^z \right|$$

$$m(T) = |T - T_c|^{\beta}$$
 with  $\beta = 1/8$  in 2D

Regression:  $y = h_{\Theta}(x) = \Theta \cdot x$ 

$$\{(x_j^{(i)}, y^{(i)}), \theta_j\}; \ j = 1, 2, \dots, N; \ i = 1, 2, \dots, M; N < M$$
$$y^{(i)} = \theta_0 + \theta_1 x_1^{(i)} + \theta_2 x_2^{(i)} + \dots + \theta_N x_N^{(i)}$$

$$\begin{bmatrix} 1 & x_1^{(1)} & x_2^{(1)} & \cdots & x_N^{(1)} \\ 1 & x_1^{(2)} & x_2^{(2)} & \cdots & x_N^{(2)} \\ \vdots & & \vdots & & \vdots \\ 1 & x_1^{(M)} & x_2^{(M)} & \cdots & x_N^{(M)} \end{bmatrix} \cdot \begin{bmatrix} \theta_0 \\ \theta_1 \\ \vdots \\ \theta_N \end{bmatrix} = \begin{bmatrix} y^{(1)} \\ y^{(2)} \\ \vdots \\ y^{(M)} \end{bmatrix}$$

## **Prediction & forecasting:**

$$\underline{\underline{X}} \cdot \underline{\Theta} = \underline{Y}$$

Least squares by Legendre 1805 and Gauss 1809 normal equation, Gradient descent and Conjugate Gradients, Lagrange multiplier

• Model / hypothesis

 $h_{\Theta}(x)$ 

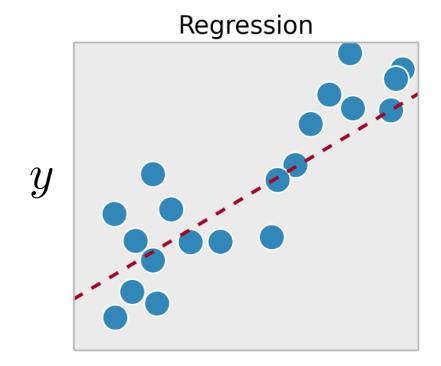
- Loss / cost function
- $\mathcal{L}(\Theta|X) = \sum_{i=1}^{M} L(y^{(i)}, h_{\Theta}(x^{(i)}))$
- Optimisation procedure
- $\Theta^* = \arg\min_{\Theta} \mathcal{L}(\Theta|X)$

### **Example: Self-Driving car**

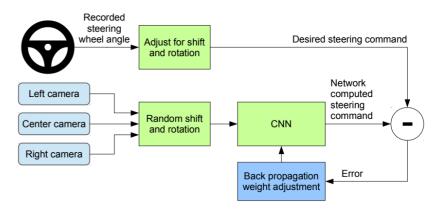
Input: sensors on the car, video caramel, GPS, ...;

Output: steering wheel;

Training data: monitoring and recording the action of human driver



 $\mathcal{X}$ 





Advertising Popularity

Forecasting

Market

Forecasting

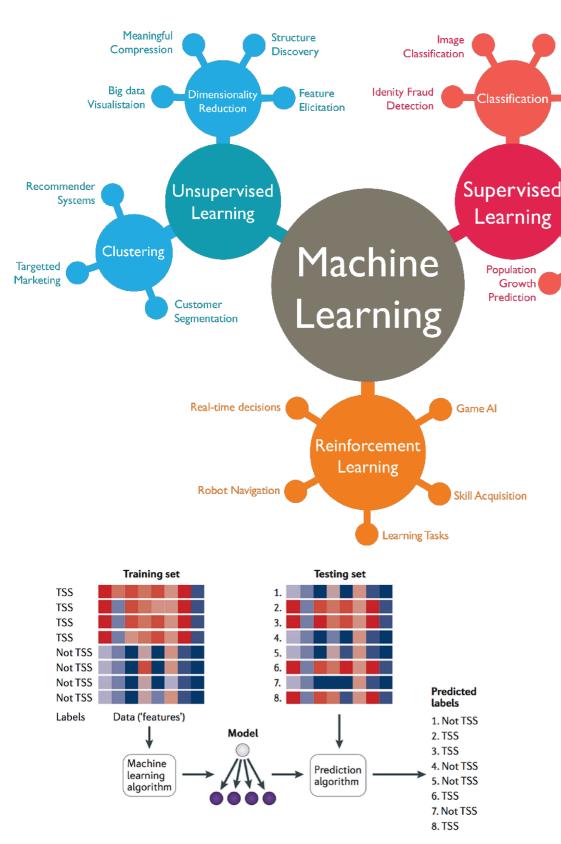
Prediction

life expectancy

Customer Retention

Diagnostics

Regression



### **Supervised Learning: Classification & Regression**

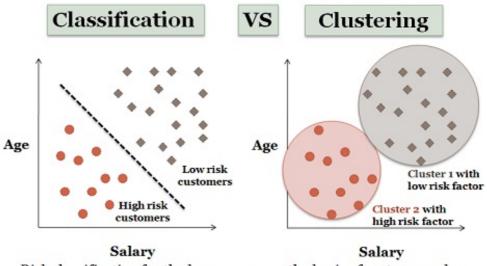
Input —> machine/model —> Output
Correct outputs are provided by the supervisor

### **Unsupervised Learning: only have input data**

Find regularities from the input

### Clustering:

customer segmentation, customer relationship management, outlier detection; Image compression bioinformatics: DNA, RNA, amino acids, Motif, Proteins, sequence alignments



Risk classification for the loan payees on the basis of customer salary

Reinforcement learning (policy generation): Single action is not important, good policy is the sequence of correct actions.

Reinforcement Learning
applied to games

Thomas Paula

August 16, 2018 - #10 Porto Alegre Machine Learning Meetup

AlphaGo is CNN with 12 convolution layers

Robot navigation:

Game playing:



Correct sequence of action to reach the goal state from an initial state

Watch this!

https://www.bostondynamics.com/spot

# A bit of history Ankenstein; Or, Modern Prometheus





1943, McCullouch and Pitts, artificial neutrons 1955, workshop at Dartmouth College, Allen Newell (CMU), Herbert Simon (CMU), John McCarthy (MIT), Marvin Minsky (MIT), ...

1958, Rosenblatt, perceptron

1974, first Al winter

1987, second Al winter

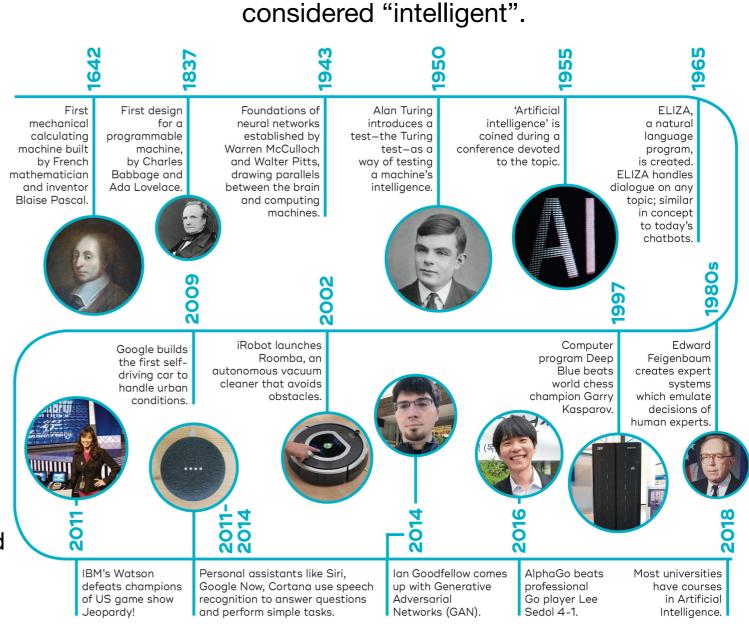
1997, IBM Deepblue vs Kasparov, logistics, data mining, medical diagnosis, ...

2016, Alpha Go vs Lee Sedol

2017, Alpha Go vs Ke Jie

Xbox, Smartphone, affordable neural networks, cloud computing, internet of things ...

2020, COVID-19



Church-Turning thesis:

If a human could not distinguish between responses

from a machine and a human, the machine could be

## Content



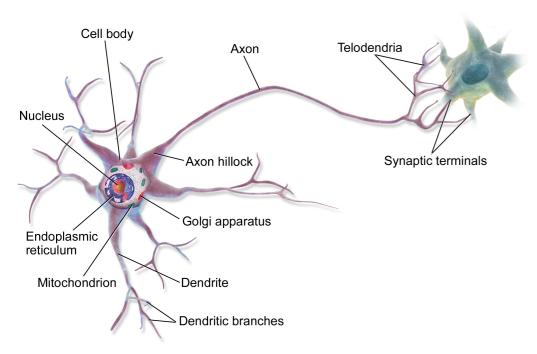
### 0. Introduction

- 1. Regression
  - 1.1 Multivariate Linear Regression (curve fitting)
  - 1.2 Regularization (Lagrange multiplier)
  - 1.3 Logistic Regression (Fermi-Dirac distribution)
  - 1.4 Support Vector Machine (high-school geometry)
- 2. Dimensionality Reduction/feature extraction
  - 2.1 Principal Component Analysis (order parameters)
  - 2.2 Recommender Systems
  - 2.3 Clustering (phase transition)

## Content



- 3. Neural Networks
  - 3.1 Biological neural networks
  - 3.2 Mathematical representation
  - 3.3 Factoring biological ingredient
  - 3.4 Feed-forward neural networks
  - 3.5 Learning algorithm
  - 3.6 Universal Approximation Theorem





|                     | Supercomputer                         | Personal Computer                  | Human Brain                     |
|---------------------|---------------------------------------|------------------------------------|---------------------------------|
| Computational Units | 32,000 Xeon CPUs<br>10^12 transistors | 4 CPUs, 10^9 transistors           | 10^11 neurons                   |
| Storage units       | 10^14 bits RAM<br>10^15 bits Storage  | 10^11 bit RAM<br>10^13 bit Storage | 10^11 neurons<br>10^14 synapses |
| Cycle time          | 10^-9 sec                             | 10^-9 sec                          | 10^-3 sec                       |
| Operations/sec      | 10^15                                 | 10^10                              | 10^17                           |
| Memory updates/sec  | 10^14                                 | 10^10                              | 10^14                           |
| Weight / Space      | 150 tons / Basketball court           | 1 Kg / A4 Paper                    | 1.5 Kg / 1/6 basketball         |
| Power consumption   | 500 megawatt                          | 100 watt                           | 20 watt                         |

Evolution gives human a large brain and a mechanism to learn, such that we could update ourselves with experience and adapt to different environments.

All sciences are fitting models to data.

Induction is a process of extracting general rules from a set of particular cases.

But we are at the point such analysis can no longer done by people, we need computer (machine) to learn for us.

Al is multidisciplinary

Physics, mathematics, chemistry, ...

Cognitive science

Statistics: association is inference, learning is estimation, classification is discriminate analysis.

Engineering: classification is pattern recognition

Vision, speech and robotics, learnt from sample data Signal processing in vision and speech recognition

Neural networks, kernel-based algorithms (SVMs) in bioinformatics and language processing

Generative models, explain data through interaction of hidden factors

Lots of example data and sufficient computing power, reduced cost of storage, larger dataset over internet and cheaper computation, COVID19

Made possible now but not in the 1950s and 60s

Intelligence seems not to originate from outlandish formula, but rather from patient, sometimes brute-force use of a simple, straightforward algorithm.